

J.A. Barceló

Form and Function Advantages of 3D Modeling and Geometric Reasoning

ARCHAEOLOGY IS A QUINTESSENTIALLY VISUAL DISCIPLINE





EXPLANATIONS

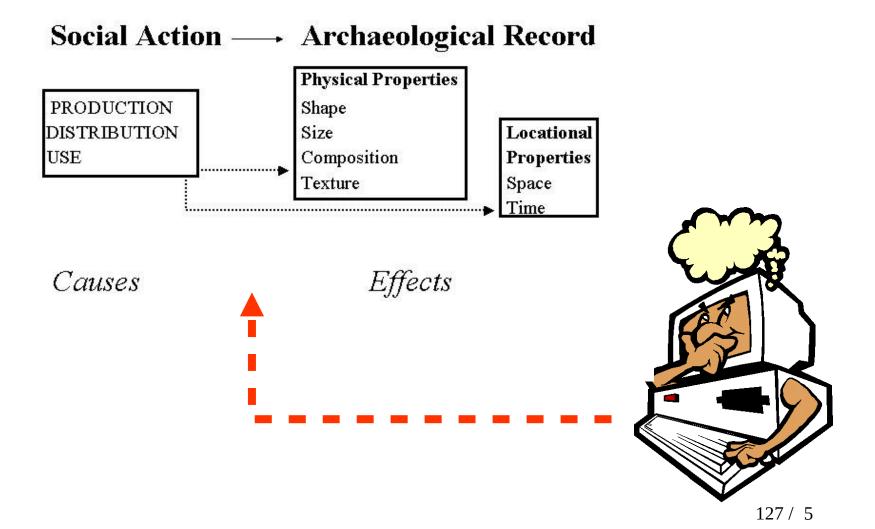
DATA

We want to see what cannot be seen

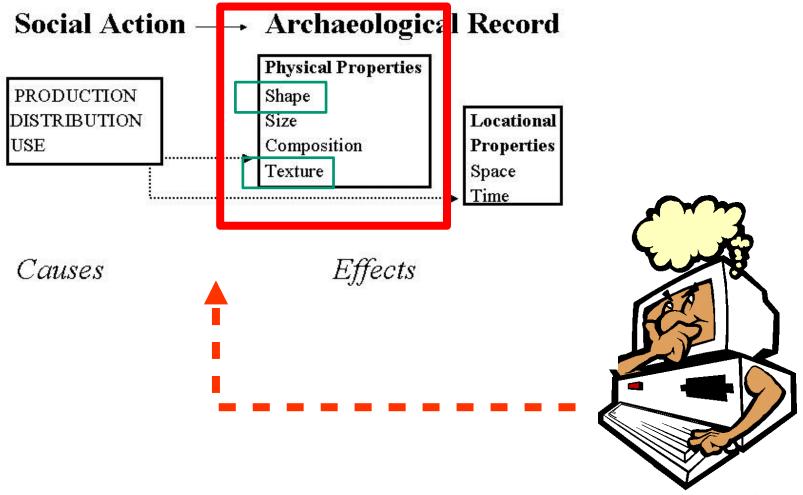




Problem Solving in Archaeology



Problem Solving in Archaeology



Visual Information

• When we see something, we are not seeing an object, but our senses capture sensorial information (luminance contrasts), which should then be transformed into an intermediate-level representation of what gives the perceived entity its individuality.

VISUAL INFORMATION

raw visual data

image segmentation

low-level interpretation of picture elements

object recognition

□

high-level image interpretation

Low-level information is typically about the spatial relationships among primitive, two-dimensional visual features such as observed shape, texture, and composition variability patterns.

Intermediate information describes the properties arising from forms of organization of the low-level primitives, and may include descriptions of the three-dimensional spatial relationship (location) among visual properties.

The overall explanatory process is thus broken down into the extraction of a number of different observable physical properties (low-level analysis), followed by a final decision based on these properties (high-level analysis), what implies breaking down the perception of meaningful visual marks into different explanatory stages

Visual Information

- Formally speaking, a surface is a boundary of separation between two phases.
- In its turn, a *phase* is a homogenous mass of substance, solid, liquid or gas, possessing a well-defined boundary.
- When we have two phases in mutual contact, we have an *interface*.

Visual Information

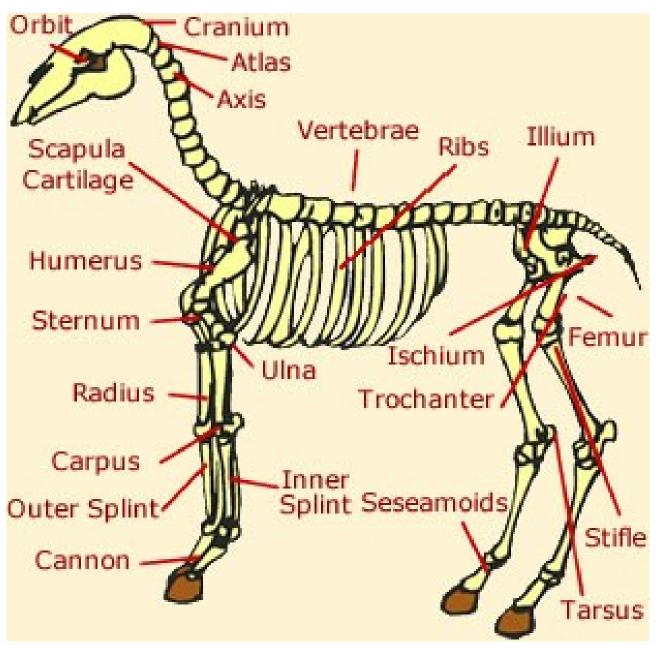
- The surface of solids plays a significant role to discover the way they have been produced and the way they have been used.
- Surfaces have two main properties: *shape* and *texture*.

What is Shape?

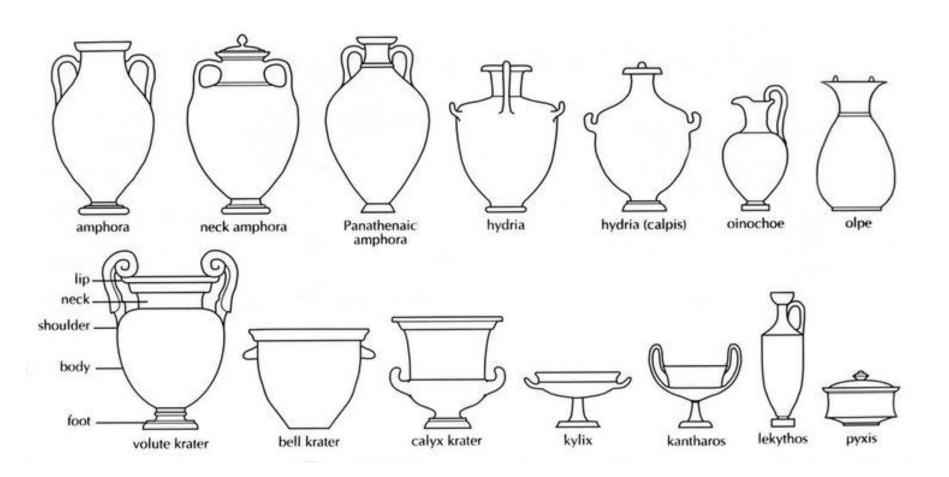
1. What's this?	
2. What's that?	
3. Is this a table?	H
4. Is that a ruler?	

Scientists have traditionally assumed that there is a roughly fixed set or vocabulary of "supposed" descriptive visual regularities shared by a single population of objects, which are also distinctive enough.

Scientists believe that what they see is a "seed", a "bone", a "bowl", "a knife", the "wall of a house", a "prince burial", etc., and they can distinguish between different kinds of "bowls", different kinds of "prince burials", and so on.



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TABLE PREHENSION

_	préhension	appliquée
_	nráhansian	tiráo

ensellement médian

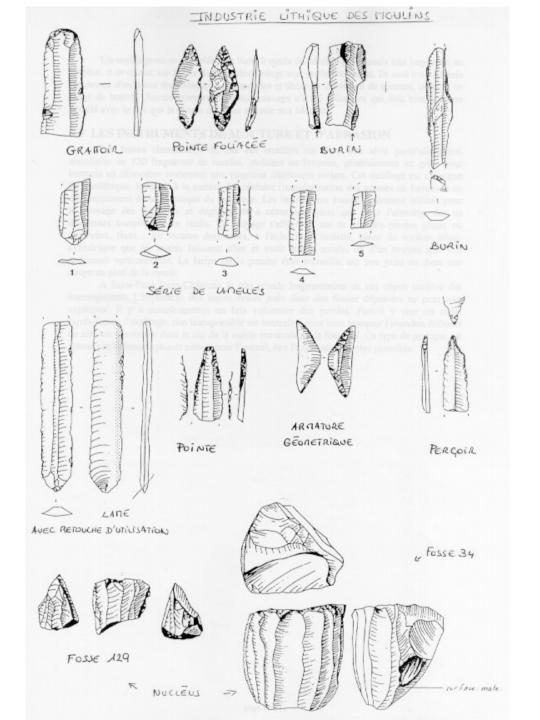
	Туре	Localisation	Associé à	Orientation
	 anse en boudin anse en boudin à arc cintré anse en boudin à arc coudé anse en boudin à angle droit anse en ruban anse en ruban à arc cintré 	 sous la lèvre sur la lèvre à prise directe sur la lèvre sur le bord sur le col 	- élément plastique - élément préhension - élément en relief - décor en relief - décor en creux - indéterminable	 verticale oblique horizontale indéterminable
Enum	 anse en ruban à arc coudé anse en ruban à angle droit anse en demi-bobine anse « ad ascia » mamelon mamelon allongé mamelon prismatique préhension en demi-bobine préhension en demi-cylindre préhension en X prise plate prise plate à développement arrondi prise plate à développement rectangulaire indéterminable préhension tubulaire à développement arrondi préhension tubulaire à développement rectangulaire 	- sur la panse - en haut de la panse - au milieu de la panse - en bas de la panse - à cheval lèvre/bord - à cheval lèvre/col - à cheval lèvre/panse - à cheval lèvre/panse - à cheval col/panse - à cheval col/panse - au-dessus de la carène - sur la carène - rattachée au-dessus de la carène - rattachée au-dessous de la carène - rattachée au-dessous de la carène - indéterminable	- indetermination	

Perforation affectant la	préhension
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•	nombre de perforation	
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□ sous-cutanée

Ori	entation perfo/récipient	Orientation perfo/préhension
Enum	 verticale oblique horizontale indéterminable 	 transversale longitudinale indéterminable



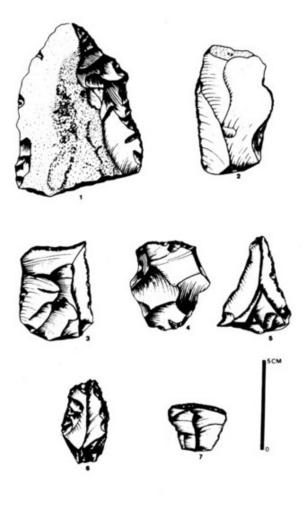
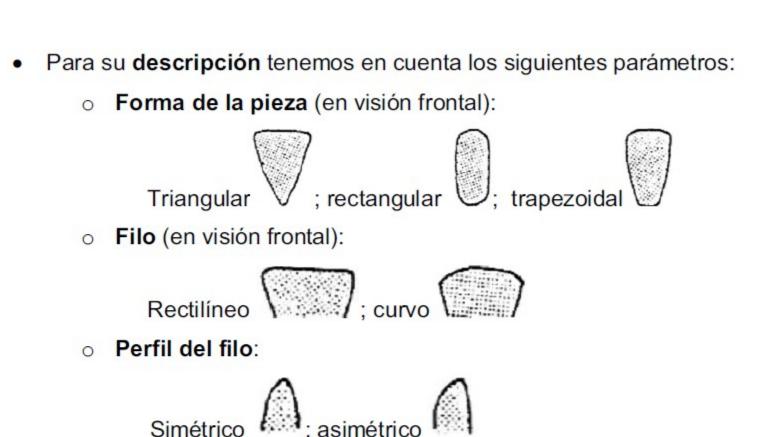


Figure III

- 1 : retouche simple, profonde, latérale : racloir latéral.
- 2 : retouche simple, marginale, latérale : racloir latéral.
- 3 : même type de retouche mais latérale et transversale : racloir latéro-transversal.
- 4: retouche simple, profonde, transversale: racloir transversal.
- 7 : même type que 4, mais en retouche marginale : racloir transversal.
- 6 : retouche simple, profonde, latérale. Le bord dextre est denticulé. Un front à peine ébauché ne peut être interprété comme grattoir. Il s'agit d'un racloir denticulé.
- 5 : le bord dextre est un racloir latéral partiel. Le bord senestre présente une retouche abrupte, marginale, qui dans sa partie proximale rencontre une cassure concave aménagée : il s'agit d'un bec.

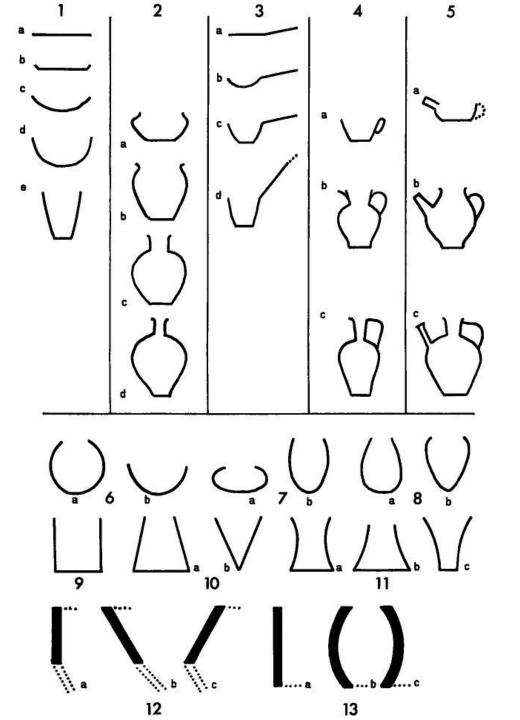
Tipologie Analytique

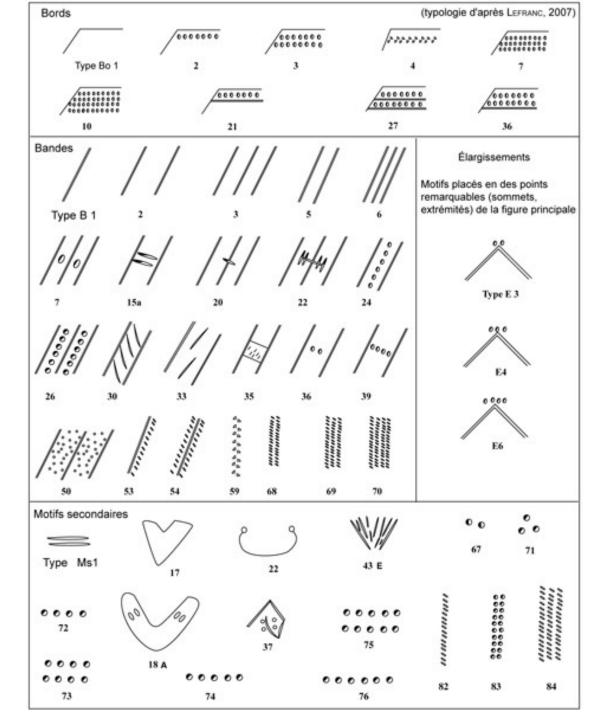


Base (en visión frontal):

Sección:







This way of identification-based explanation seems then a tricky way of solving any archaeological research problem.

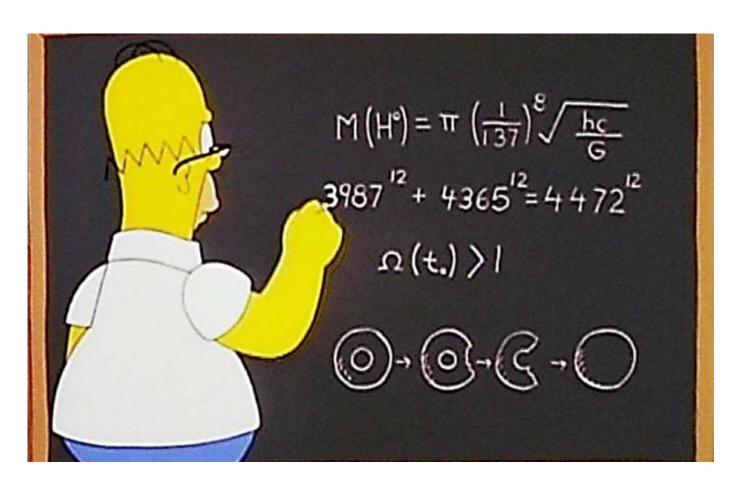
It pretends to explain what has been "seen", not in terms of their visual characteristics, but in terms of subjective recognition.

Nevertheless, what we "see" in the real world are not stones, walls, pit holes, mounds, buildings, pottery sherds, plants, animal carcasses, or anything like but a hierarchized organization of visual marks and higher level cues to explanatory categories.



What is Shape?

Shape is a quantitative property



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Quantitative Properties

QUANTITY: any property that can be expressed in terms of an intensity.

QUALITY: any property that can only be expressed in terms of presence/absence

Quantitative Properties

Verbal language is not appropriated for describing intensities:

```
"a lot of",
```

"small"

"large"

"tall"

Quantitative Properties

There is not a "mathematical" reality, nor "mathematical concepts".

There are real things, empirical properties that SHOULD be described in terms of their intensities.....

Measuring

Measuring is the operation of assigning numbers that represent the degree or intensity a property is present in some entity

Measuring Instruments

It is a device, a procedure or a set of logical formal operations that compare a reference ordination to the actual state of the property at the measured entity

Measuring

Fast everything observable in reality is a quantity,

Happiness, Love,.....

they are always refered as if they would exist in "quantities"

I am "very" happy...

I love you less and less....)

But we do not know how to measure those properties

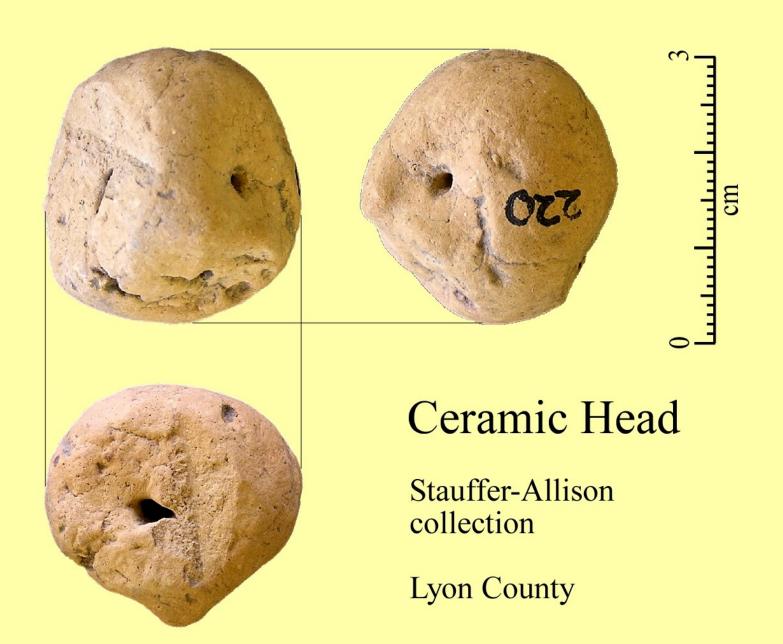
Measuring Shape

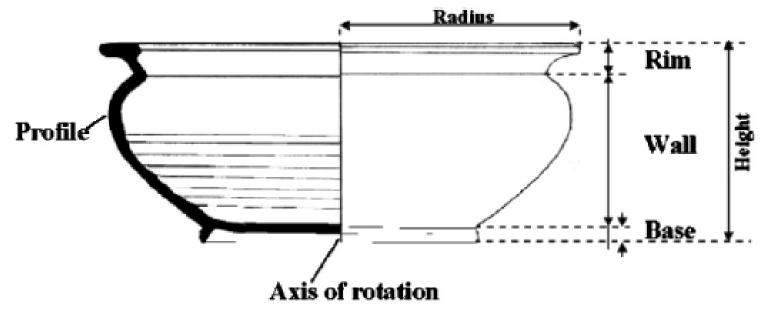
We do not have the habitude of measuring shape in archaeology, but it is far easier than measuring happiness or love.....

Shape is not Size



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The state of the s

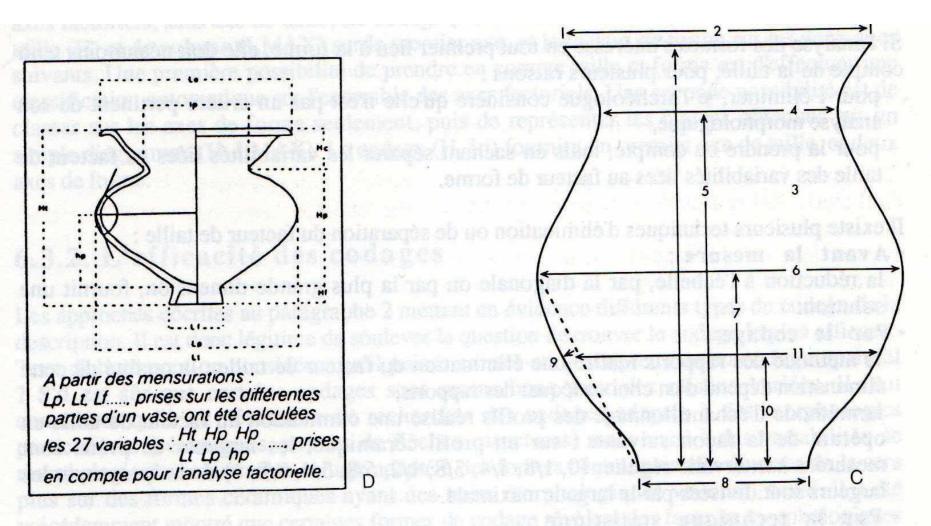


Fig. 6.3: Différents exemples de mensurations d): Céramiques (d'après Mohen, 1980) c): Céramiques (d'après Whallon, 1982).

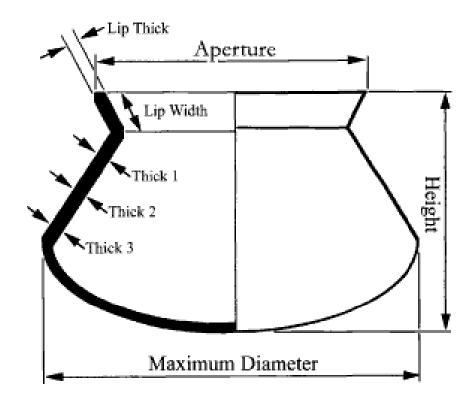


Figure 1. Measurements taken on Andra Pradesh vessels (ralla catti).

enable us to calculate indexes of regularity in the

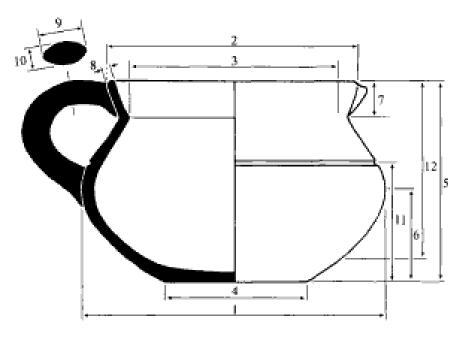


Figure 2. Measurements taken on Spanish vessels. (1) Maximum diameter, (2) aperture, (3) neck diameter, (4) base diameter, (5) total height, (6) maximum diameter height, (7) lip height, (8) lip thickness, (9) handle width, (10) handle thickness, (11) height of the decor (distance between the bottom and an incised groove), and (12) height of the zone which has not been turned.

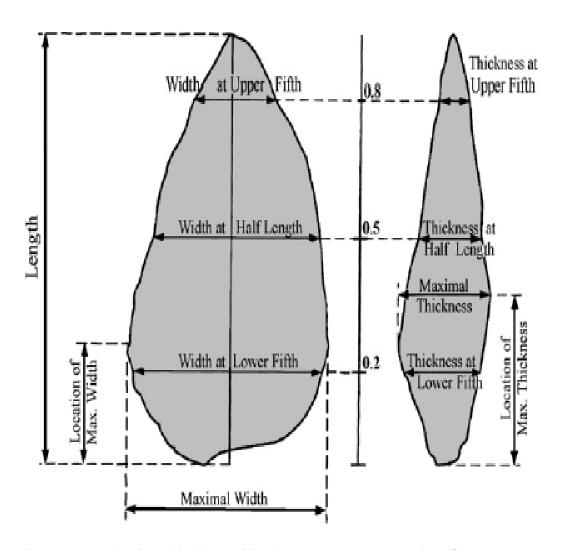


Fig. 1. Conventional positioning and basic measurement extraction (from Roe, 1964 and after Sharon, 2007).

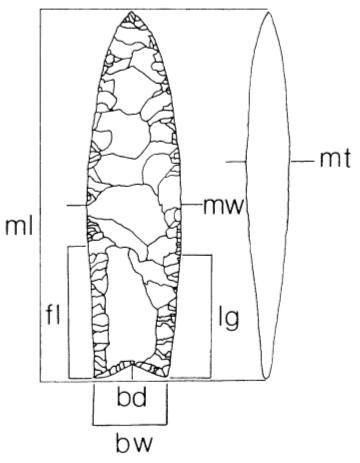
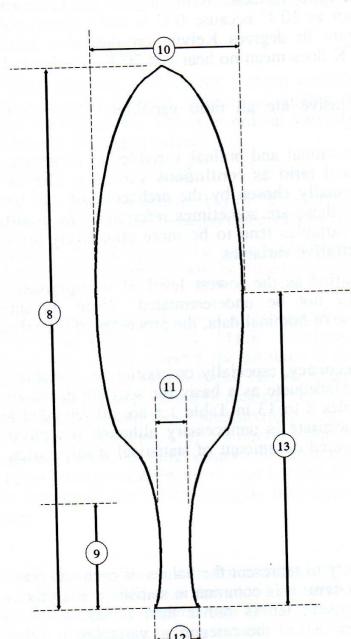
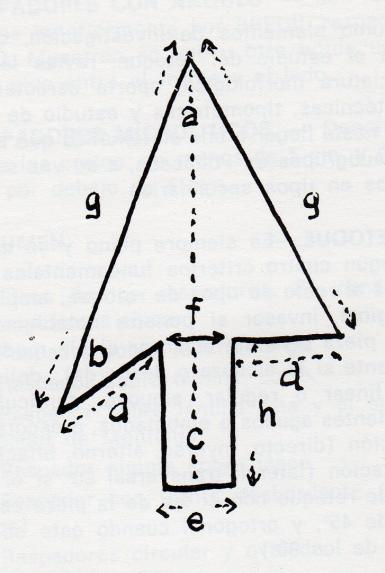


Figure 1. Standard fluted-point morphometric landmarks: (ml) maximum length, (mw) maximum width, (lg) extent of lateral grinding, (bw) basal width, (bd) basal depth, (fl) fluting-scar length, and (mt) maximum thickness.

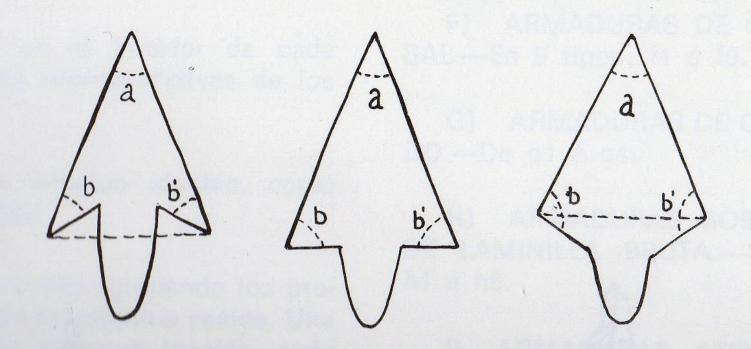
Variable number



- 8. Maximum length (cm) <MAXLE>
- 9. Length of socket (cm) <SOCLE>
- 10. Maximum width(cm) <MAXWI>
- 11. Width of upper socket (cm) <UPSOC>
- 12. Width of lower socket (cm) <LOSOC>
- 13. Distance between maximum width and lower socket (cm) < MAWIT>
- 14. Weight (g) <WEIGHT>

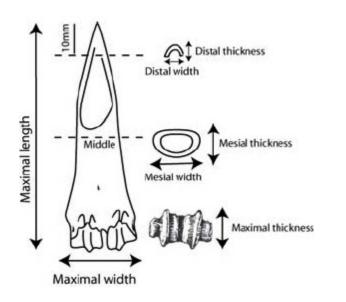


Nomenclatura morfológica:
a) Apice o punta. b) Alerón. c) Pedúnculo. d) Hombrera. e) Base del pedúnculo. f) Cuello. g) Borde lateral. h) Borde del pedúnculo, (de BAGOLINI).
Fig. 300



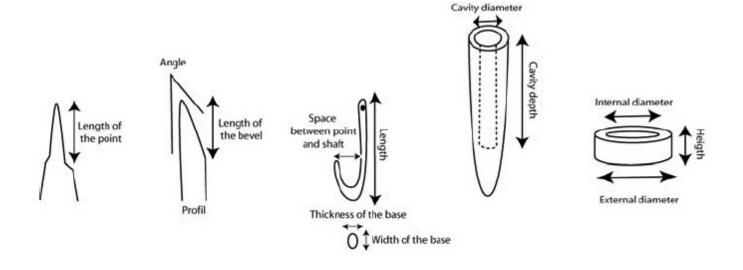
Tipos de alerones, según HUGOT a: Agudos, b: Rectos, c: Obtusos Fig. 335

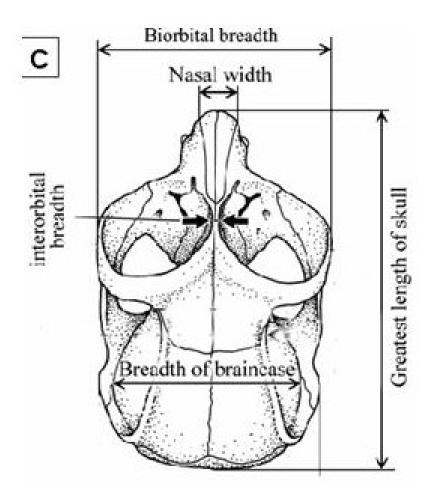
Industria osea. Stordeur 1992

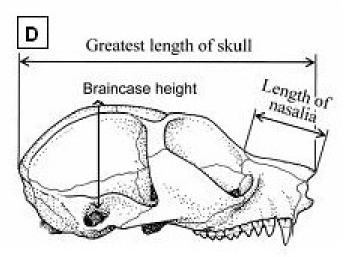


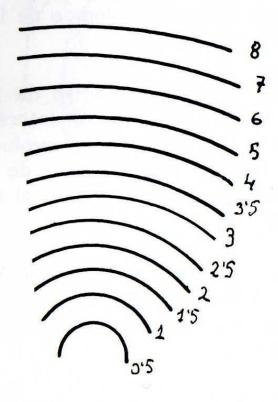
Distal calibre (10mm from extremity) = Distal width x distal thickness

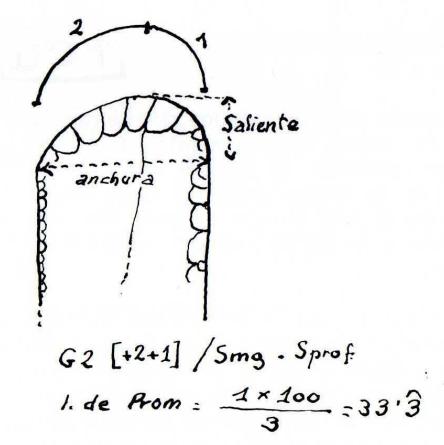
> Robusticity index = Mesial width Maximal length x 100









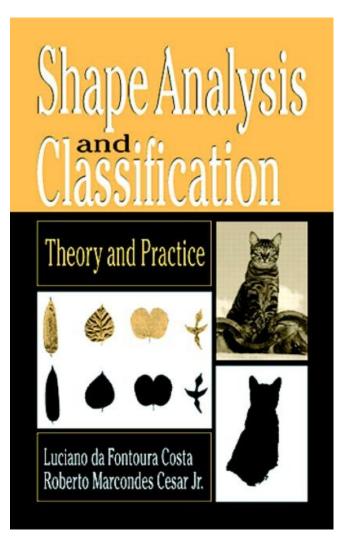


Curvas para medición de raspadores, y ejemplo de su aplicación a un raspador de frente mixto o complejo.

Fig. 378

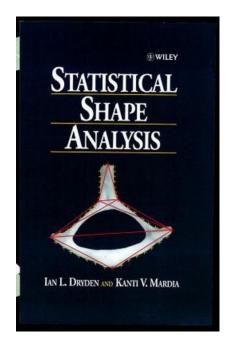
What is Shape?

 a shape can be understood as any "single", "distinct", "whole" or "united" visual entity.



What is Shape?

Properties of a configuration of points which are not altered for effects of size, position and orientation, or by translation, rotation and scaling



Describiendo el Perfil

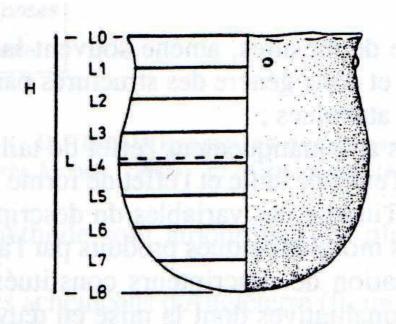


Fig. 6.2: Échantillonnage de profil

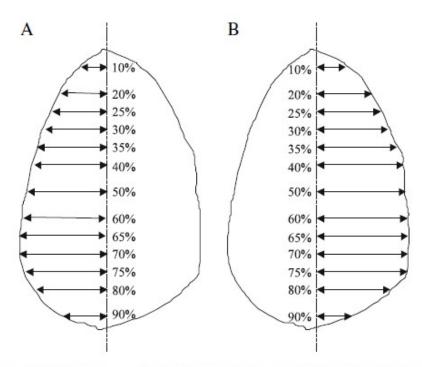


Fig. 6. Bilateral measurements taken from the length axis of the base. A total of 26 measurements were taken at percentage points left (A) and right (B) of this line.

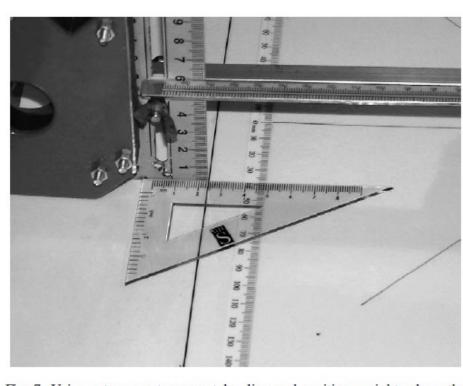


Fig. 7. Using setsquares to accurately align and position uprights along the scales of the base.

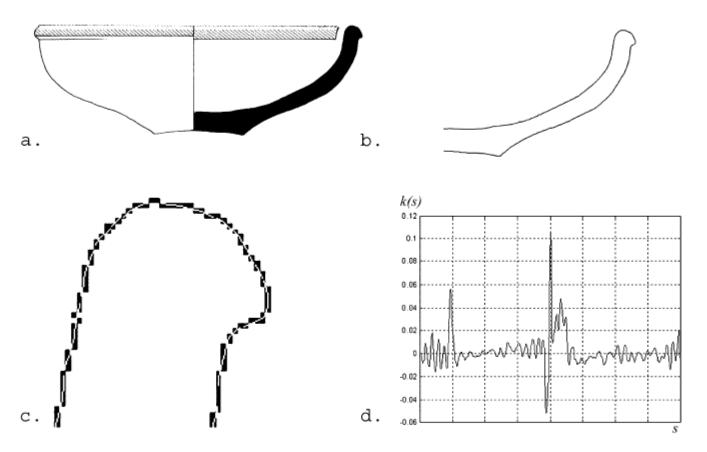
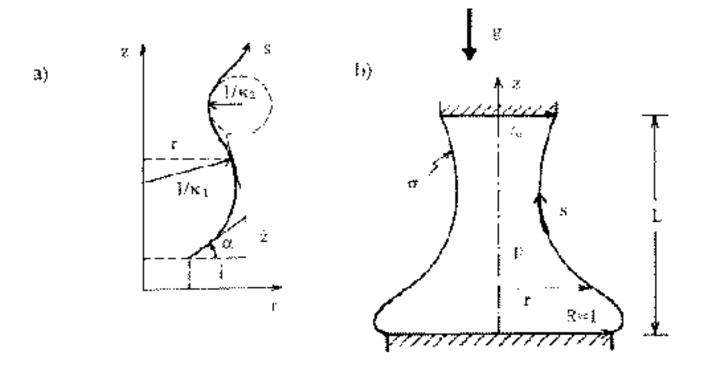
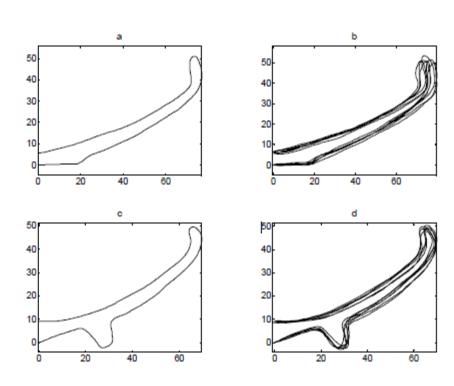


Fig. 1. The steps leading from a scanned drawing to the curvature function. a. A scanned drawing of a bowl. b. The pixelized profile. c. Enlarged detail of the pixelized profile and the interpolated curve. d. The curvature function.





6 profiles of the plate at 6 different sections

Fig. 1. Single profiles of two different bowls from Tell Dor, Israel (a,c and the overlap of six profiles of the same bowls (b,d), respectively

Relational Indexes

<u>Circularity</u>: the degree of circularity of a texel. That is how much this texel is similar to a circle. Where 1 is a perfect circle and 0.492 is an isosceles triangle. This shape is expressed by:

$$\frac{4\pi s}{p^2}$$
 s: texel area p: texel perimeter

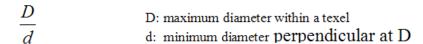
<u>Quadrature</u>: the degree of quadrature of a texel, where 1 is a square and 0.800 an isosceles triangle. This shape is expressed by:

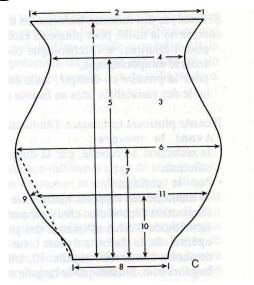
$$\frac{p}{4\sqrt{s}}$$

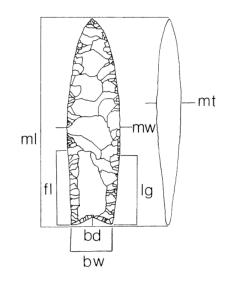
<u>Irregularity</u>: measurement of the irregularity of a texel, done with its perimeter and the perimeter of the surrounding circle. The minimum irregularity is a circle, corresponding at the value <u>1</u>. A square is the maximum irregularity with a value of 1.402. This shape is expressed by:

$$\frac{p_c}{p}$$

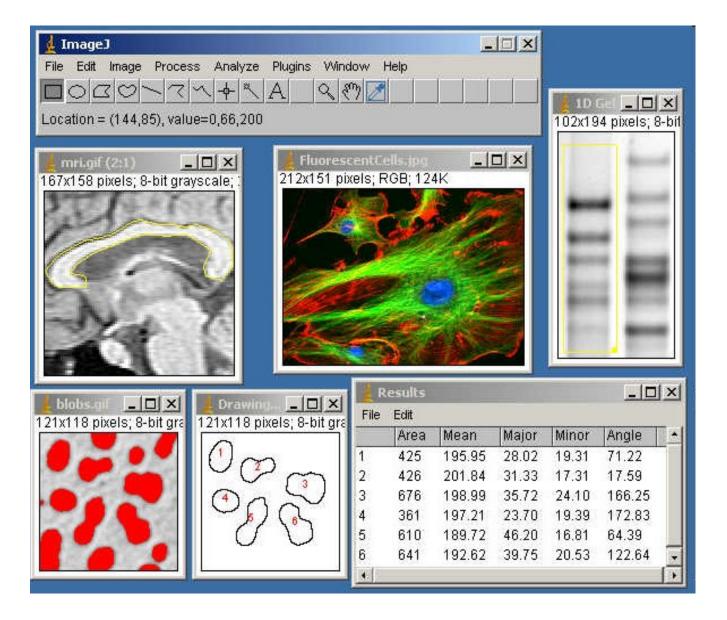
<u>Elongation</u>: as the degree of ellipticity of a texel. Where a circle and a square are the less elliptic shape. This shape is expressed by:





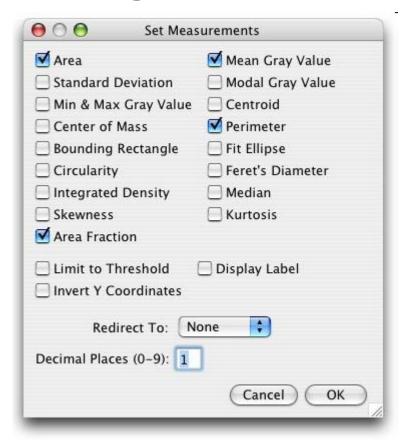


ImageJ. http://rsb.info.nih.gov/ij/



ImageJ. http://rsb.info.nih.gov/ij/ FiJi is Just ImageJ. http://fiji.sc/Fiji

Consider particles having:	
Particle size:	0,99999999
Aspect ratio (>1):	1.0,50
Form factor (0-1):	0.0,1.0
Compactness (0-1):	0.0,1.0
Roundness (0-1):	0.0,1.0
Angle (0-180):	0.0,180
✓ Clear particles	✓ Include holes
Calculate	Single particle details 🛟
Decimal places:	2
☑ Display orientation plot	✓ Weigh orientation
Interval width (0-40 degrees):	10
☑ Include edge particles	

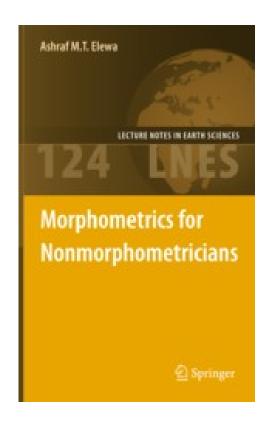


Measuring Shape



An ordered n-tuple of measurements completely characterizes a shape without redundancy if (a) there is a set of drawing rules that permits reconstruction of the shape outline using only this ordered n-tuple of measures, and (b) there is no ordered k-tuple of measures, k < n, such that the shape outline can be reconstructed from the ordered k-tuple (Read 2007).

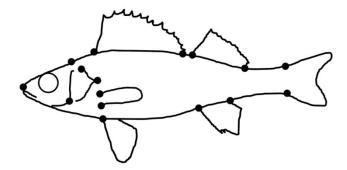
Morphometrics

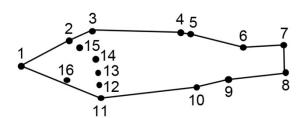


Landmark-based geometric morphometrics: summarises shape in terms of landmark configuration (discrete anatomical loci, described by two-/three-dimensional Cartesian co-ordinates);

Outline-based geometric morphometrics: summarises the shape of open or closed curves, typically without fixed landmarks. Analyses include Fourier (Elliptical Fourier, Fast Fourier etc.) and Eigenshape (and Extended Eigenshape) analyses







```
LM=13-9
260 → 6 1
258 → 141¶
259 + 167T
260 → 305 1
259 → 388¶
445 → 395¶
403 - 263 T
308 → 45 1
297 → 168 1
222 - 168¶
217 → 51¶
118 → 264 1
76 → 394¶
ID=Ateleaspis_tesselata
LM=13+¶
192 → 6 1
188 - 887
188 → 1111
191 → 164¶
192 → 206 1
370 → 158¶
294 + 156¶
220 → 27¶
211 → 111T
171 → 111¶
165 → 29¶
96 → 157¶
15 → 156 ¶
ID=Benneviaspis lankesteri - 7
LM=13+¶
161 - 235
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Morphometrics, the practical side

tpsUtil and tpsDig programs from Rohlf's morphometrics site

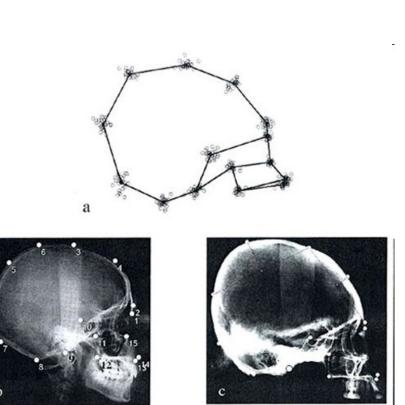
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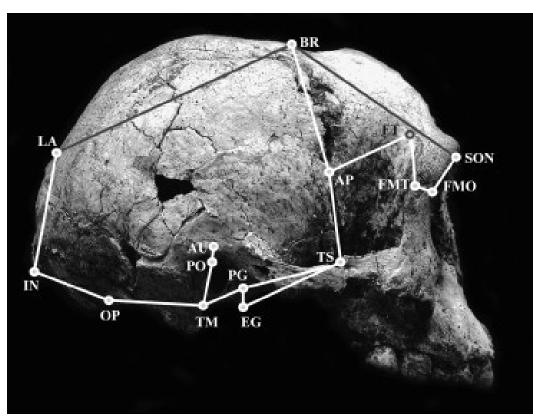
Point Picker plugin for ImageJ http://bigwww.epfl.ch/thevenaz/pointpicker/

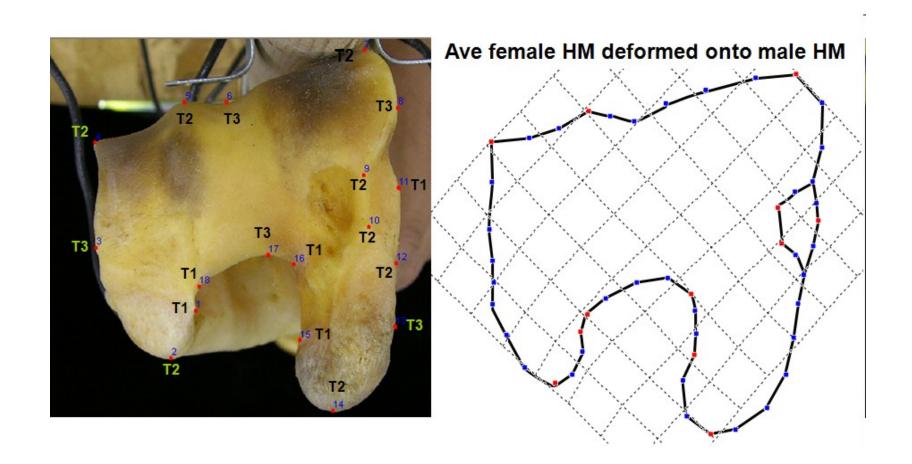
MorphJ. Manchester University

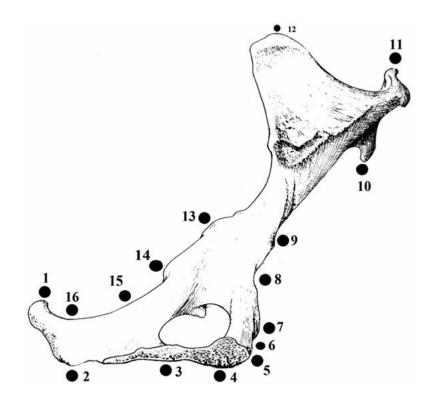
http://www.flywings.org.uk/morphoj_page.htm

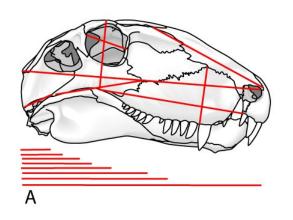
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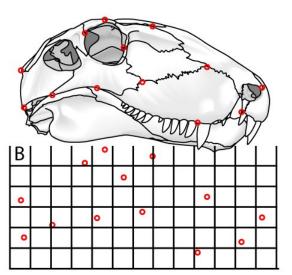




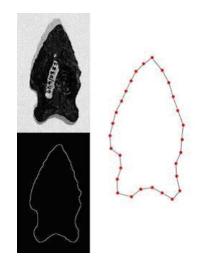


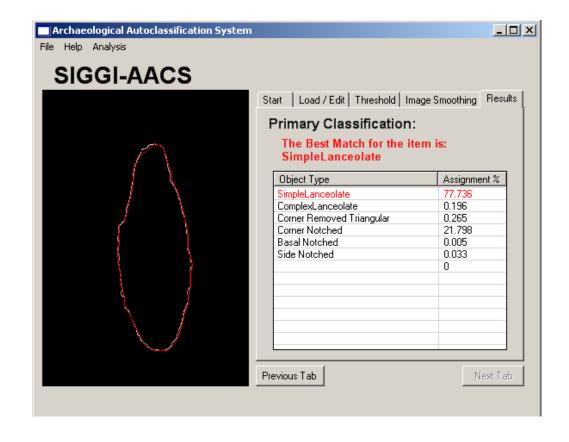






LOHSE, E.S., SCHOU,C., SCHLADER,R., SAMMONS,D, 2004, "Automated Classification of Stone projectile Points in a Neural Network". In *Enter the Past. The e-way into the four dimensions of culture heritage*. Edited by Magistrat der Stadt Wien-Referat Kulturelles Erbe-Städtarhchäologie Wien. Oxford, ArcheoPress (B AR Int. Series, S1227), pp. 431-437).





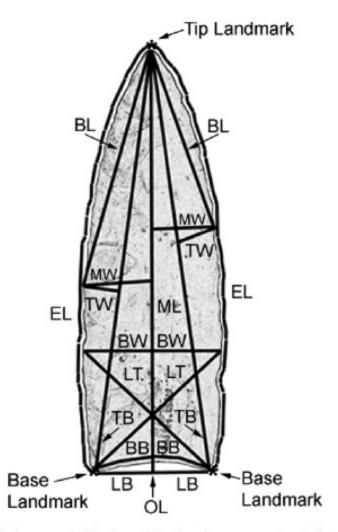
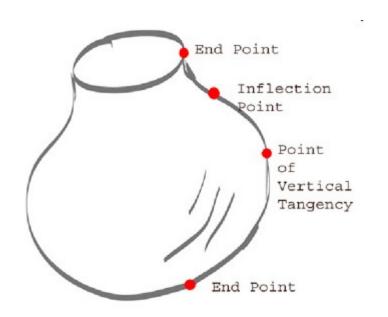


Fig. 3. Image of Clovis point showing approximate location where 11 interlandmark characters are measured and the location of the three landmarks. Character initials: EL, edge boundary length; TB, tip landmark to base landmark; TW, width of tip to base length to maximum inflection position; BL, blade length; MW, maximum width; BB, base boundary length; LB, linear measure of base; ML, midline length; OL, overall length; BW, basal width across first third of point; LT, length from base to 1/3 along opposite edge.



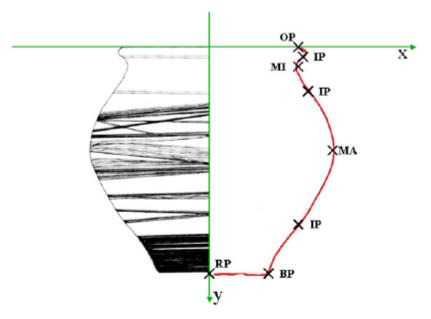
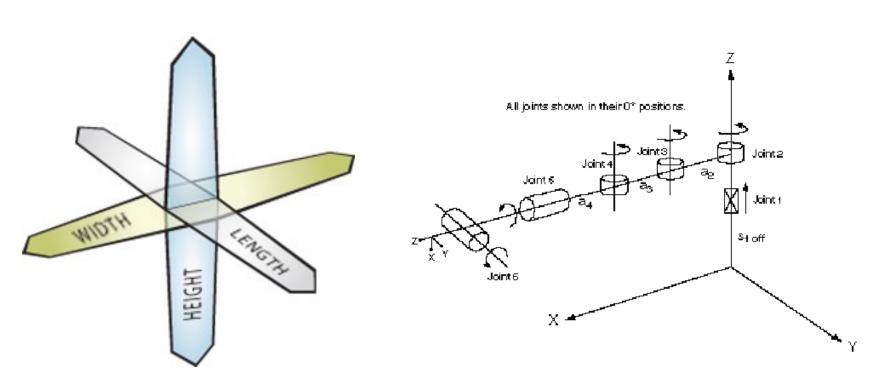


Fig. 8. S-shaped vessel: profile segmentation scheme.

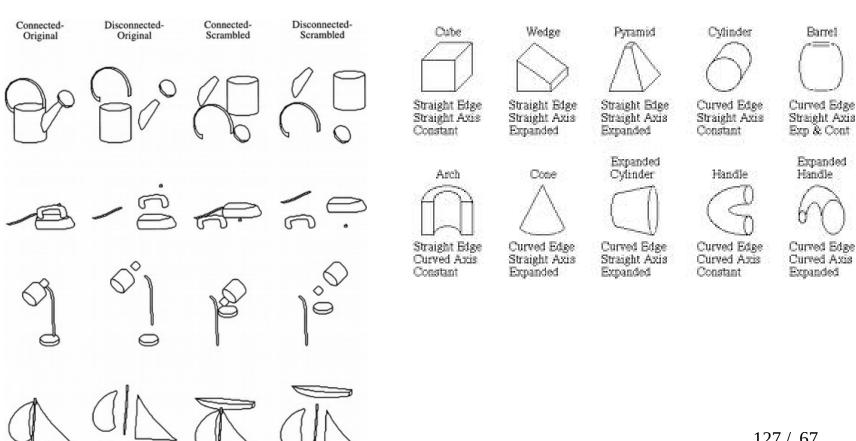
- MI, local minimum: point of vertical tangency; point where the x-value is smaller than in the surrounding area of the curve.
- MA, local maximum: point of vertical tangency; point where the x-value is bigger than in the surrounding area of the curve; the y-value refers to the height of the object (e.g. MA(y)).
- CP, corner point: point where the curve changes its direction substantially.
- BP, base point: outermost point, where the profile line touches the base plane.
- RP, point of the axis of rotation: point where the profile line touches the axis of rotation.
- EP, end point: point where the profile line touches the axis of rotation; applied to incomplete profiles.

3 dimensionality of Physical Space

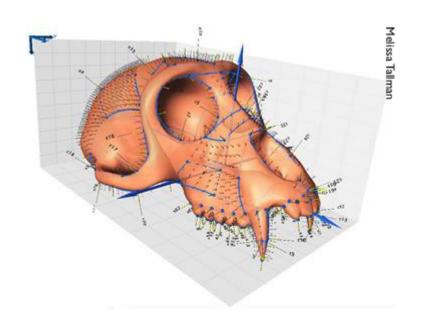


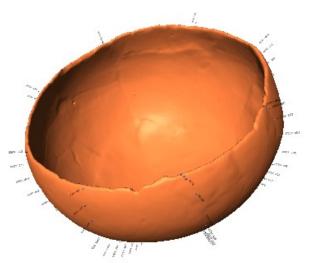
SHAPE DECOMPOSITION

Geon Theory (Biederman 1987)



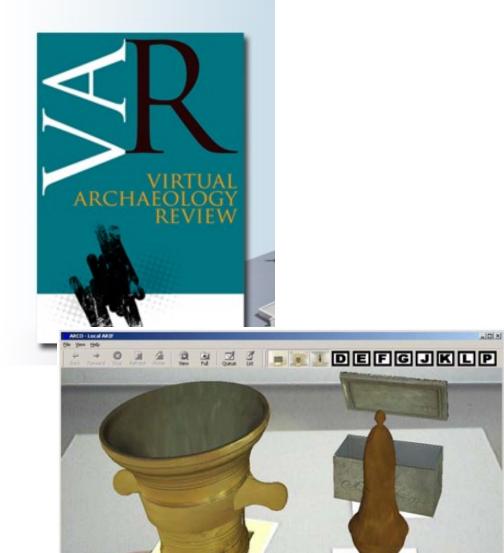
3D Morphometrics

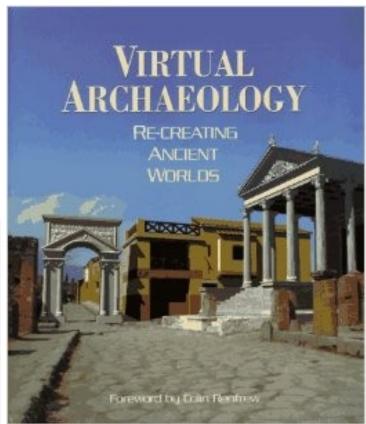




Center for Regional Heritage Research Robert Z. Selden Jr., Ph.D., RPA. Calderon Collection - II-005.0

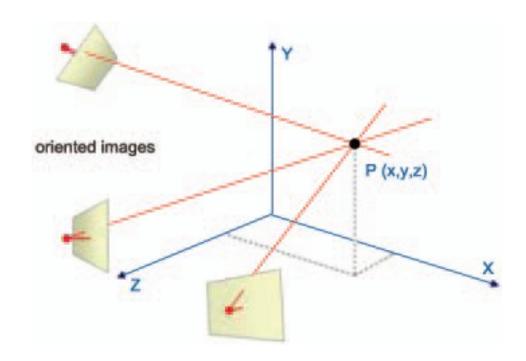
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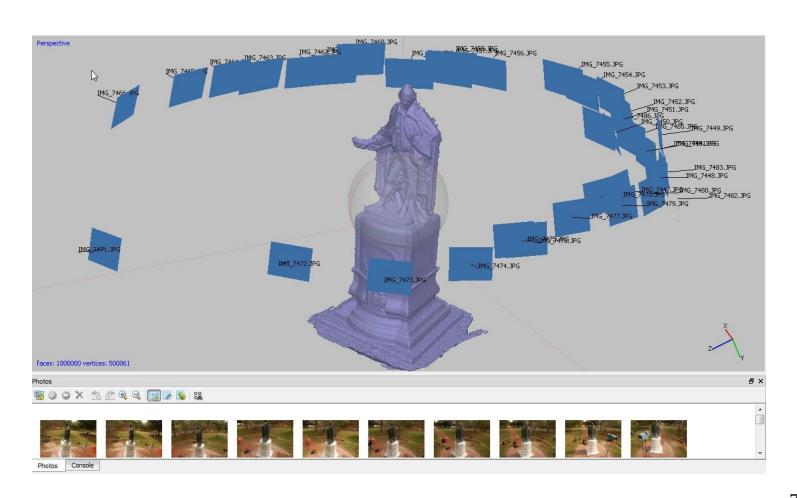


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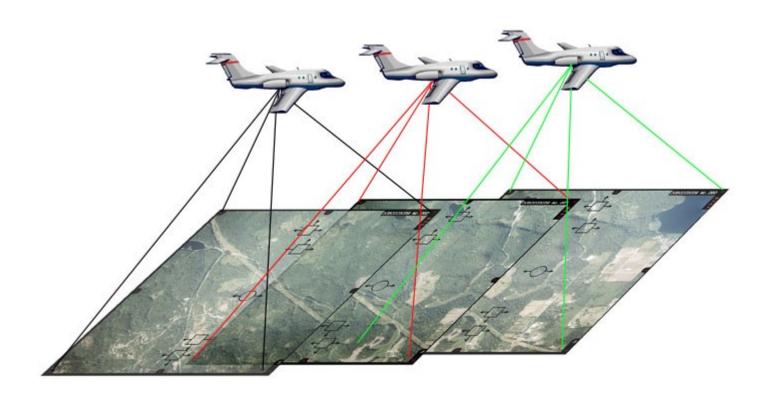
Photogrametry



Photogrametry



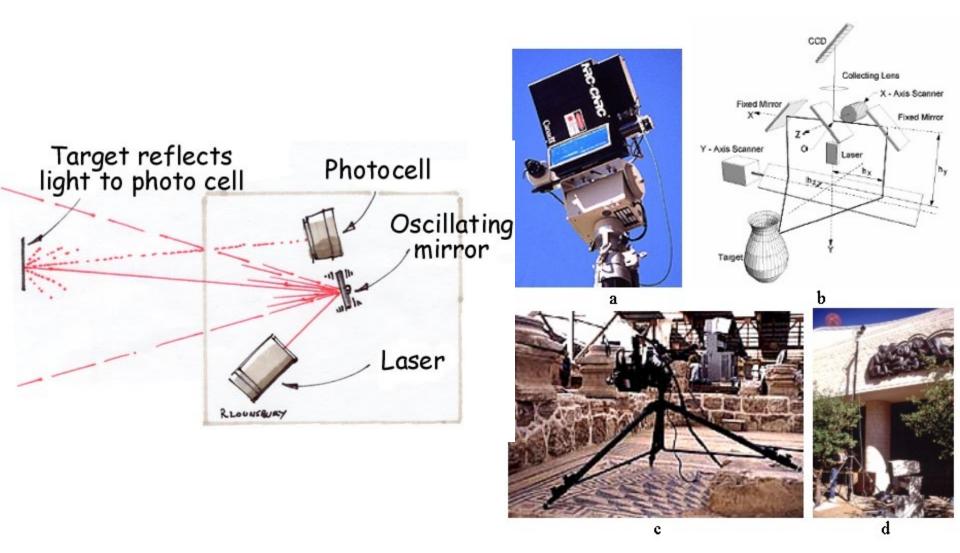
Photogrametry







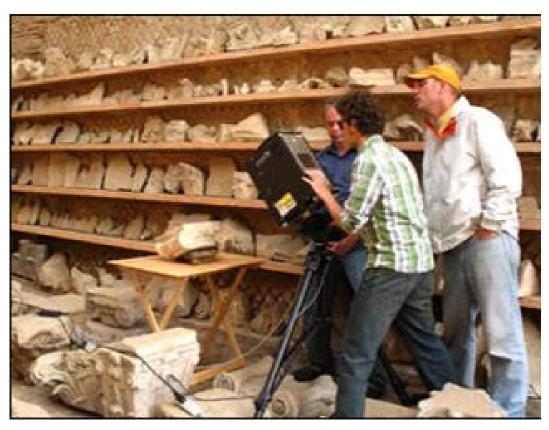












BREUKMAN OTO Institució Milà i Fontanals (CSIC)

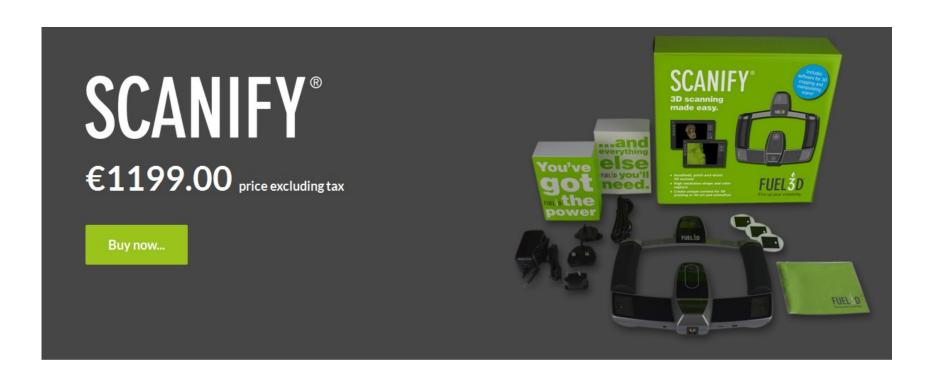
Minolta Vivid UAB-CVC



3D Scanning / Photogrametry

DavidScan





Louer

FARO FOCUS 3D

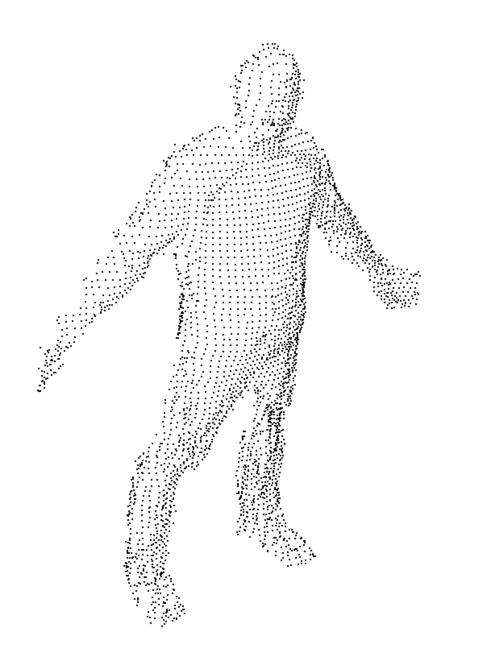
	Día	Semana	15 días	Mes
Láser, trípode y software Scene	250	860	1500	2550
8 Esferas	10	30	50	80

- Clase práctica inicial: 110 € (2 horas)
- Seguro: incremento 4%
- Tarifas válidas durante 2015
- IVA no incluido

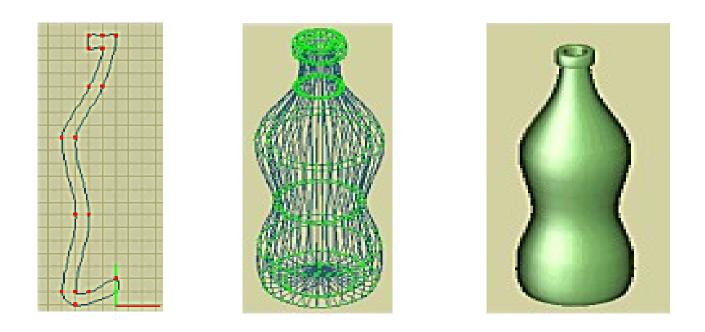
Características:

- 5kg de peso
- Velocidad de 976.000 puntos/s
- Precisión ± 2mm
- Cámara integrada de 70 megapixel





> X1 X2 X3 X4 Y1 Y2 Y3



Formas tridimensionales = Interpolación

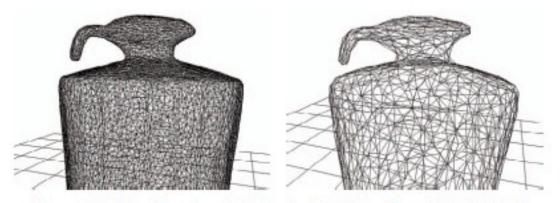


Figura 2.29 Malha poligonal com 10.000 (esq.) e 1.250 (drt.) polígonos [Moitinho07b, c].



Figura 2.30 Flat shading aplicada a malha poligonal com 10.000 (esq.) e 1.250 (drt.) polígonos [Moitinho07b, c].



Figura 2.31 Smooth shading aplicada a malha poligonal com 10.000 (esq.) e 1.250 (drt.) poligonos [Moitinho07b, c].

<u>-</u>

cation of 3-D chnology for ntation and ithic artifacts

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a 3-D scan

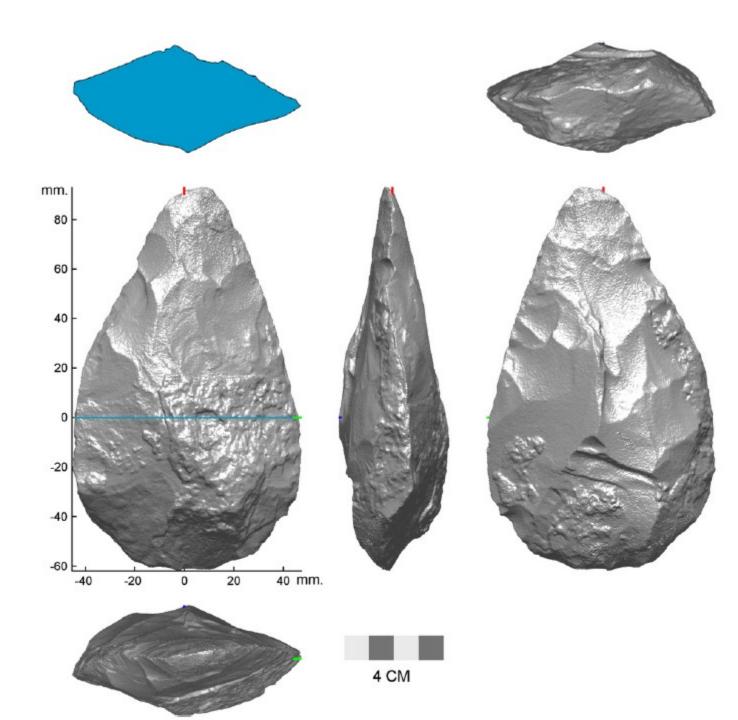
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ison of the ized 3-D and the l method

typology the v and d ers - a test of sequencing

dgements

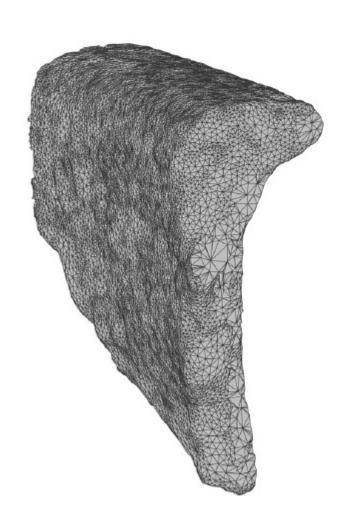


3D File Format

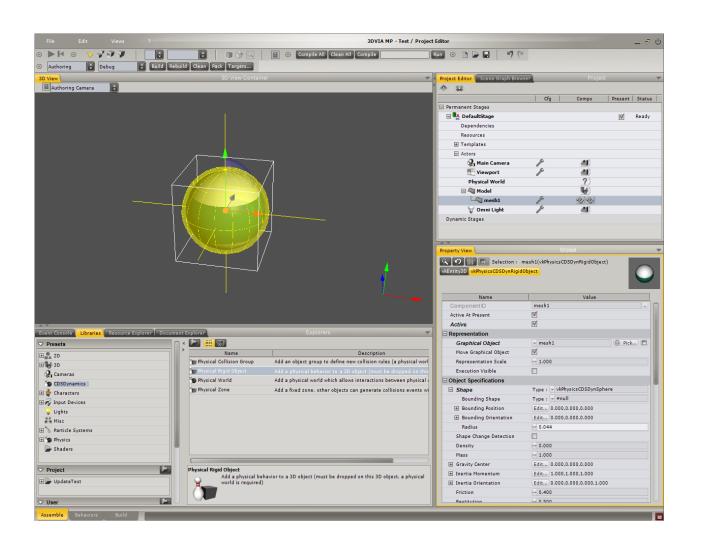
```
facet normal n_i n_j n_k
outer loop
vertex v1_x v1_y v1_z

vertex v2_x v2_y v2_z
vertex v3_x v3_y

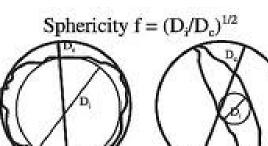
v3_z endloop
endfacet
```



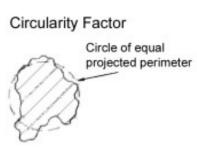
3D Shape Descriptors

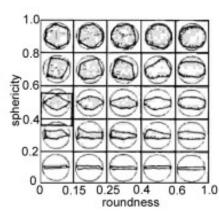


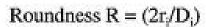
3D Shape Descriptors

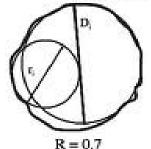


Shape factor

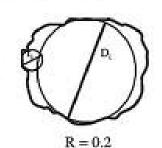






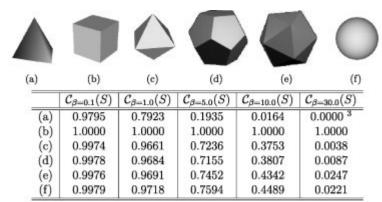


f = 0.89

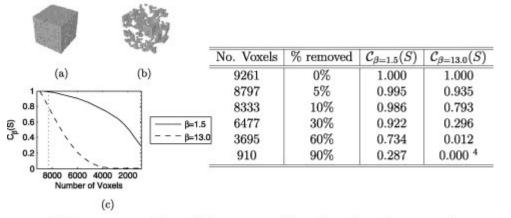


$$\phi = \frac{\sqrt{a \cdot b^2} \cdot 2^3}{a + \frac{b^2}{\sqrt{a^2 - b^2}} \ln \left(\frac{a + \sqrt{a^2 - b^2}}{b} \right)}$$

CUBENESS



³Cubeness measure C_{β=30.0}(S) cannot actually reach zero but the computed value is 0.0000 due to numerical error.



⁴Cubeness measure C_{β=13.0}(S) cannot actually reach zero but the computed value is 0.000 due to numerical error.



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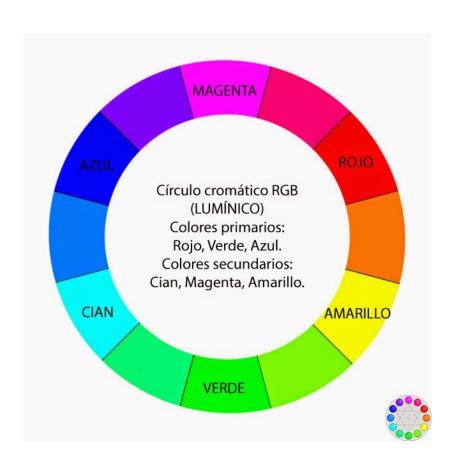
Definition of those attributes of a surface that have visual or tactile variation and contribute to distinguise those surface from others interacting with it

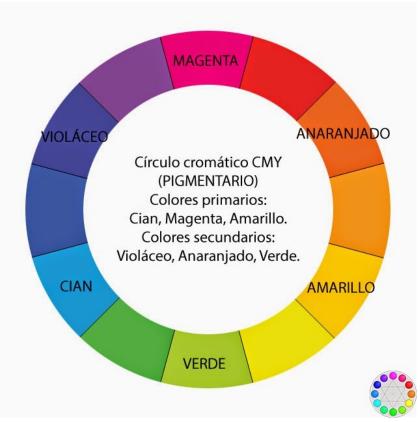
Any surface shows variation in its properties like: albedo, color, uniformity, density, roughness, regularity, linearity, direccionality, brightness, defomation, reflectivity, opacity, transparency,



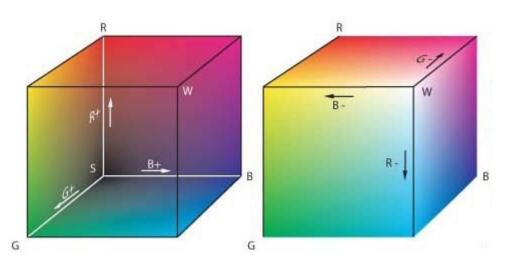
COLOUR:

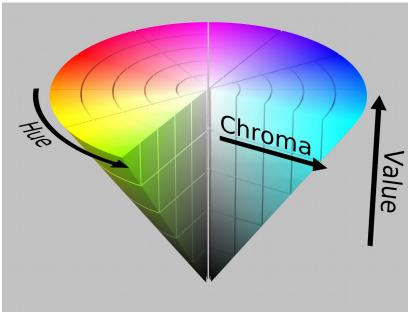
The quality of an object or substance with respect to light reflected by the object, usually determined visually by measurement of hue, saturation, and brightness of the reflected light; saturation or chroma; hue.





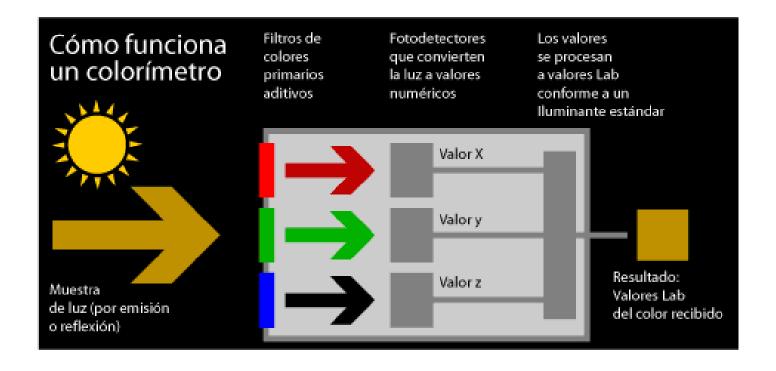




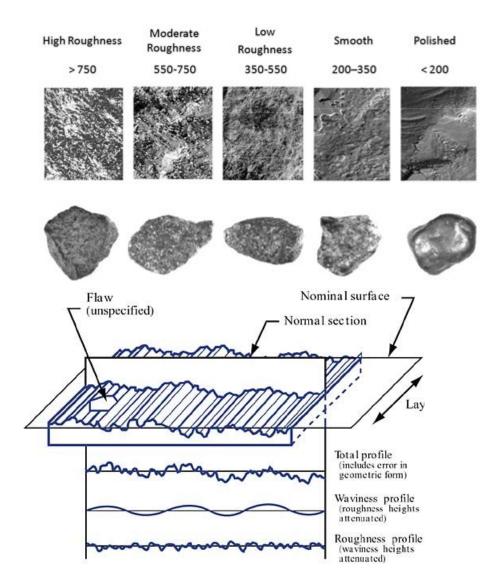




COLOR: Colorímeter



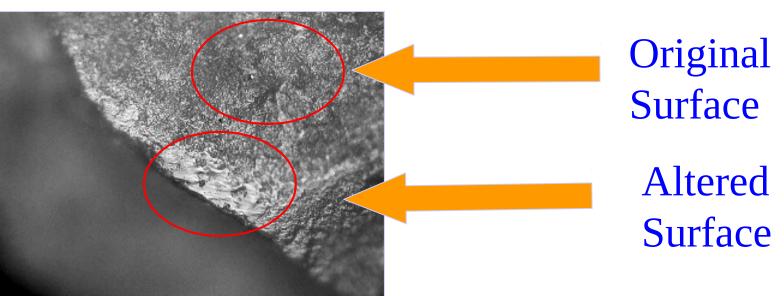
TACTILE APPEARANCE

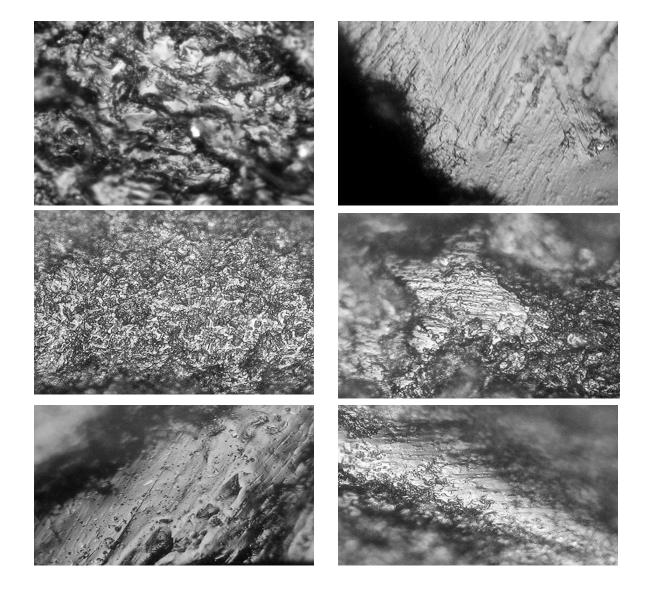


Coarseness, roughness, smoothness, polish, burnish, bumpiness, waviness

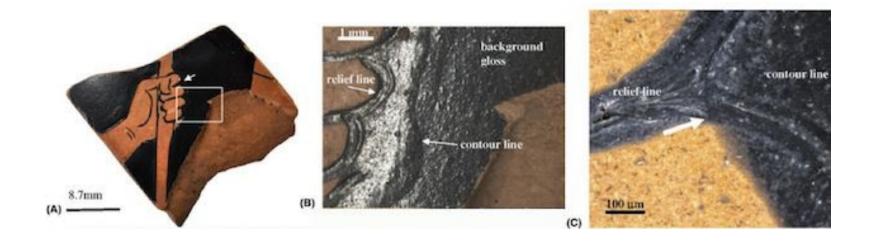
Wear traces as texture

In use wear analysis, we are interested in the nature of the alterations suffered on the lithic tool surface. In this way, our aim is to make inferences about the working processes developed by people that used these tools





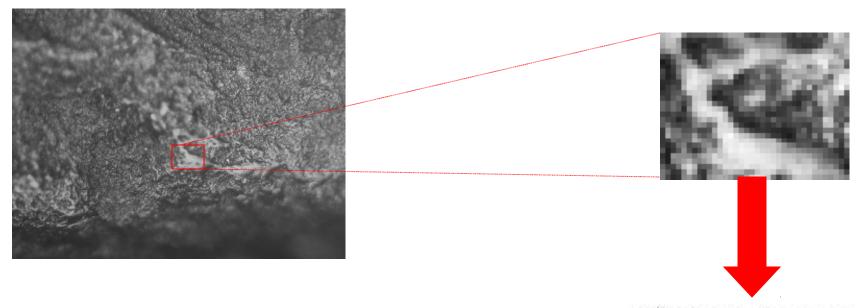
Decoration as Texture



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Surface-microtopography:

Coarseness, roughness, smoothness, polish, burnish, bumpiness, waviness



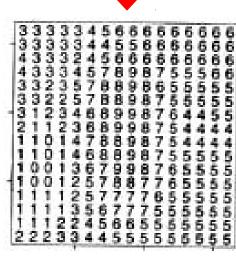
VISUAL TEXTURE

is a

GEOMETRICAL MODEL OF LUMINANCE

we recognise it as

IMAGES

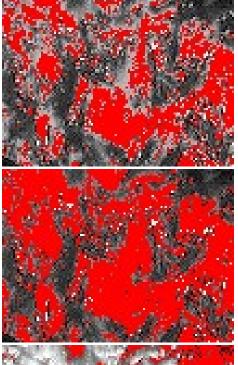


1) IMAGE DECOMPOSITION

- We look for luminance intervals, to select those pixels in the image (through a density slice), which coincide with observed texture discontinuities
- These three intervals are those which allow a better description of texture patterns

- 0-80

0-120



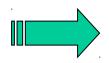
lithics



-160-200







Original Image

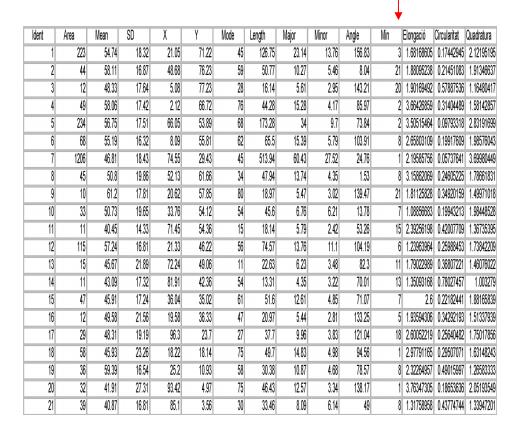






Segmentation

"Texture Components"



DESCRIBING TEXTURE

The features that we take in account are:

By Shape:

- Elongation
- Circularity
- Quadrature
- Thinness
- Ratio Compactnes/Thinness
- Compactness, mesured through two equations
- Irregularity
- Rectangularity, mesured through two equations
- Ratio Perimeter/Elongation
- **-** Feret diameter
- Minimum rectangularity

By Composition:

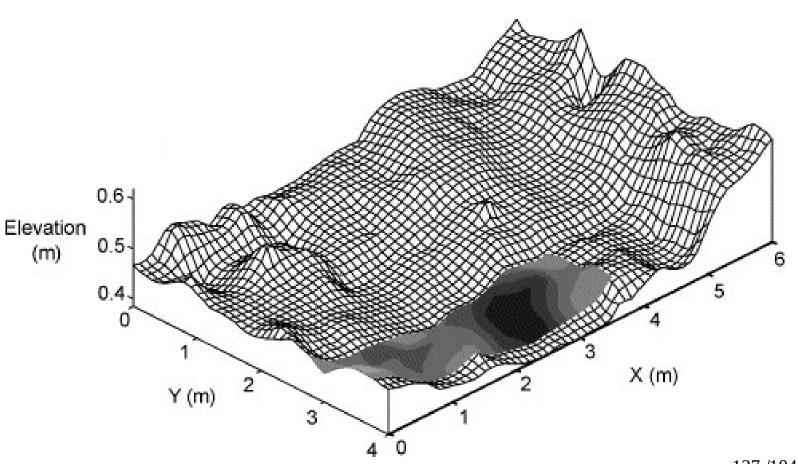
- Mean, mean of luminance
- SD, standard deviation of luminance
- Mode, mode of luminance
- Min, minimum luminance value

By Size:

- -Area
- -Major axis
- -Major axis perpendicular to the major axis
- -Perimeter

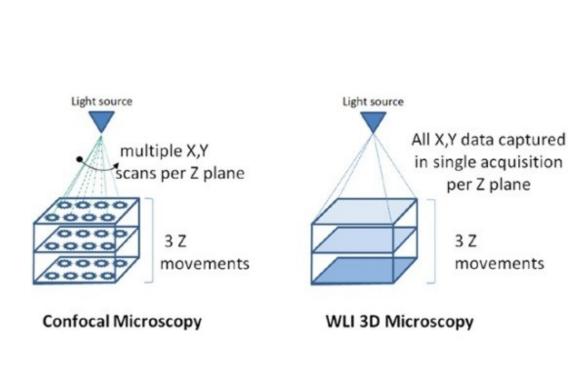
Surface-microtopography:

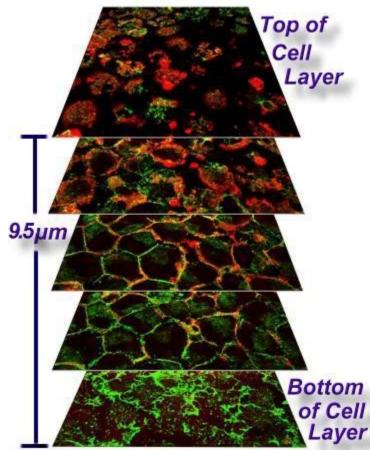
Coarseness, roughness, smoothness, polish, burnish, bumpiness, waviness



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CON-FOCAL MICROSCOPY AT DIFFERENT SCALES



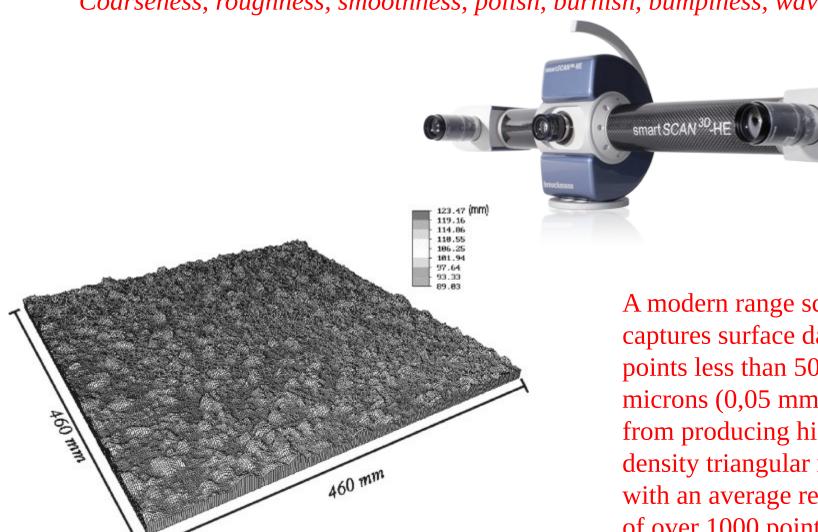


Leica DCM8 METROLOGY MICROSCOPE OF 3d SURFACES de superficies 3D combining confocal microscopy and interferometry



Surface-microtopography:

Coarseness, roughness, smoothness, polish, burnish, bumpiness, waviness



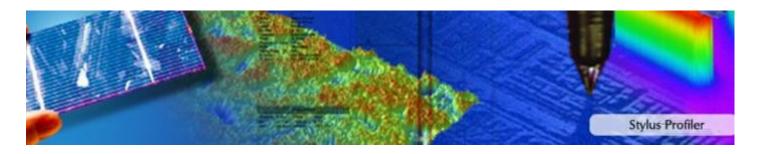
A modern range scanner captures surface data points less than 50 microns (0,05 mm), apart from producing highdensity triangular meshes with an average resolution of over 1000 points per cm^2 . 127 / 107

Surface-microtopography:

Coarseness, roughness, smoothness, polish, burnish, bumpiness, waviness



Mechanical profilometer: Nanometric resolution



Surface-microtopography:

Coarseness, roughness, smoothness, polish, burnish, bumpiness, waviness



Profilometer

Resolution: μm / 0,006 μm100 μm / 0,002 μm25 μm / 0,0004 μm

Surface-microtopography:

Coarseness, roughness, smoothness, polish, burnish, bumpiness, waviness

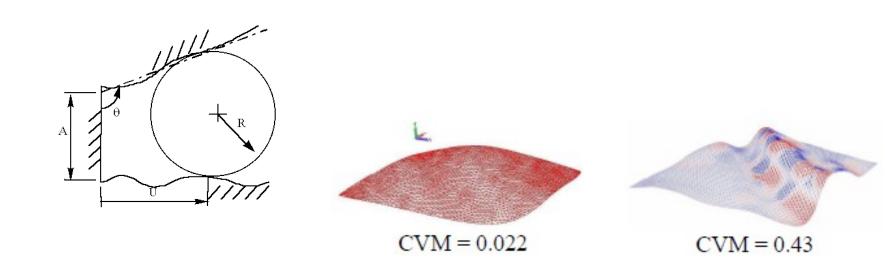


Alta precisión en el tipo 1.7μm Crysta Apex S es una MMC CNC que garantiza un error máximo admisible de medición MPEE = (1.7 + 3 L/1000) μm [Serie 500/700/900].

Sistema de Compensación de Temperatura

Surface-microtopography:

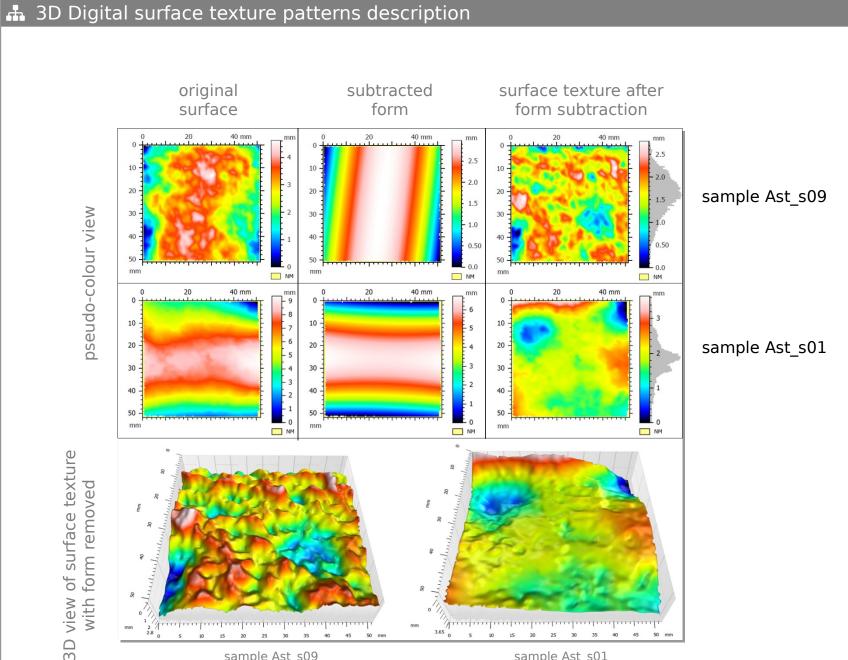
Coarseness, roughness, smoothness, polish, burnish, bumpiness, waviness



Waviness measurement

Gaussian Curvature

sample Ast_s09



sample Ast s01

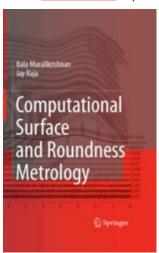
ISO (2012). ISO 25178-2:2012 - Geometrical Product Specifications (GPS) - Surface texture: Areal -Part 2: Terms, definitions and surface texture parameters. International Standard Organization (ISO).

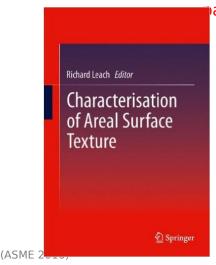
The ISO 25178 series define more than 40 areal parameters, grouped in:

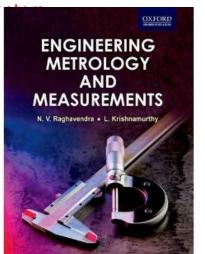
- Height: statistical distribution of height values along the z axis.
- Spatial: spatial periodicity of the data, specifically its direction.
- Hybrid: spatial form of the data, i.e., amplitude and spatial information.
- Functional volume: surface bearing area ratio curve (Abbott- Firestone curve).
- Features: selected features are identified by segmentation.

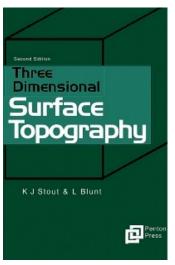
Calculations are made upon the entire surface

AND NOT upon averaging estimation calculations derived from 2D profilometric methods and







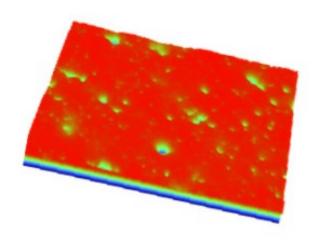


Sa and Sq

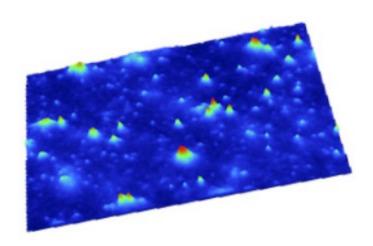
Sa and Sq are the Average Roughness and Root Mean Square Roughness are evaluated over the complete 3D surface respectively. Mathematically, Sa and Sq are evaluated as follows:

$$S_a = \iint_a |Z(x, y)| dx dy$$

$$Sq = \sqrt{\iint_a (Z(x, y))^2 dx dy}$$



Plateau-like surface Sa = 16.03 nm Sq= 25.4 nm



Surface with Peaks Sa = 16.03 nm Sq= 25.4 nm

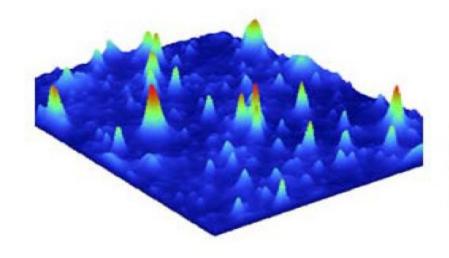
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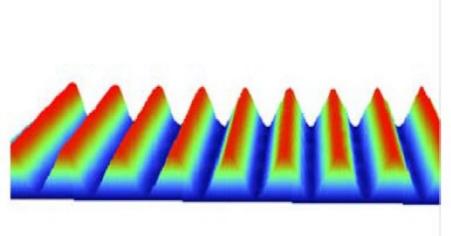
Ssk (Skewness) and Sku (Kurtosis)

Ssk and **Sku** are the Skewness and Kurtosis of the 3D surface texture respectively. Figuratively, a histogram of the heights of all measured points is established and the symmetry and deviation from an ideal Normal (i.e. bell curve) distribution is represented by **Ssk** and **Sku**. Mathematically, the **Ssk** and **Sku** are evaluated as follows:

$$Ssk = \frac{1}{S_a^3} \iint_a (Z(x, y))^3 dx dy$$

$$S_{ku} = \frac{1}{S_q^4} \iint_a (Z(x, y))^4 dx dy$$





Surface with multiple peaks Ssk = 3.20 Sku = 18.71

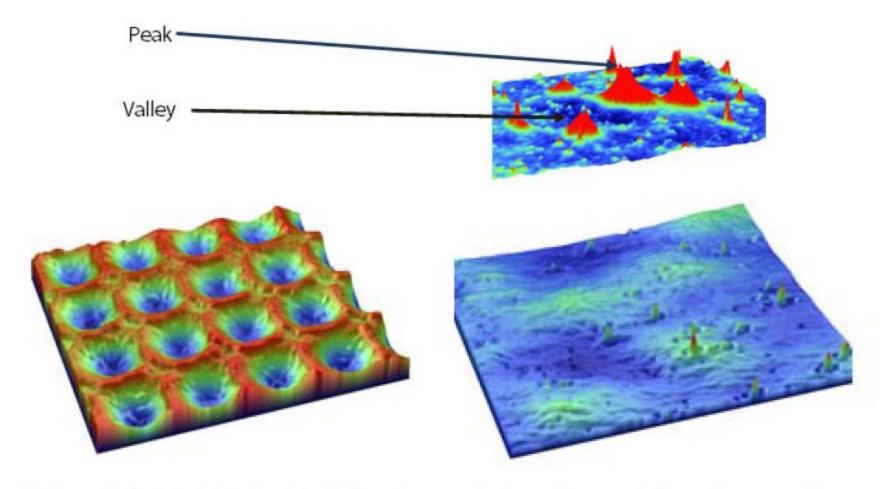
Periodic Texture Ssk = 0.16 Sku= 1.63

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Sp (Max Peak Height), Sv Max Valley Depth) and Sz (Max Height of Surface)

Sp, Sv, and Sz are parameters evaluated from the absolute highest and lowest points found on the surface. Sp, the Maximum Peak Height, is the height of the highest point, Sv, the Maximum Valley Depth, is the depth of the lowest point (expressed as a negative number) and Sz the Maximum Height of the Surface), is found from Sz = Sp - Sv.

Note: **earlier standards** referred to **Rz** as a average of the 10 highest to 10 Lowest Points and other variations. The ISO community agreed for the newer standard, ISO 25178-2 to establish Sz as strictly the peak to valley height over a areal measurement.



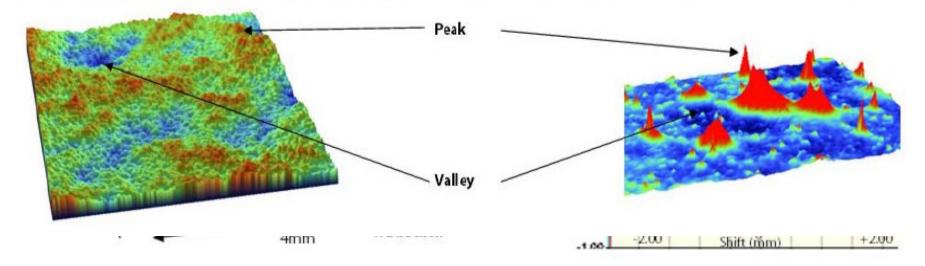
A surface used in the printing industry characterized by deep valley structures with Sv being ~ -15µm

A polymer surface prepared with asperities as measured by Sp being ~0.90 μm

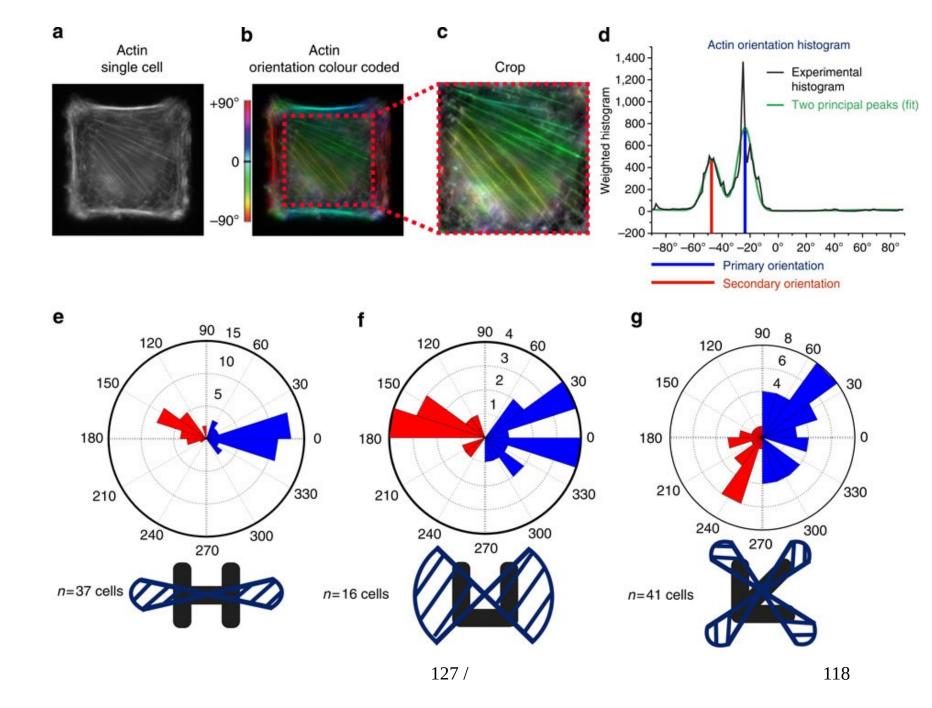
ACF (Autocorrelation Function)

Rpm, Rvm and Rz (Average Max Peak Height, Valley Depth and Height of Surface)

The **Rpm**, **Rvm**, and **Rz** parameters are evaluated from an average of the heights and depths of a number of extreme peaks and valleys. **Rpm** the Average Maximum Peak Height, is found by averaging the heights of the ten (10) highest peaks found over the complete 3D image. **Rvm**, the Average Maximum Valley Depth, is found by averaging the depths of the ten (10) lowest valleys found over the complete 3D image. **Rz**, the Average Maximum Height of the Surface, is found from **Rpm-Rvm**. Note that in determining the peaks and valleys, the analysis software eliminates a grid of 11 x 11 pixels around a given peak/valley before searching for the next peak/valley, thus assuring that significantly separated peaks/valleys are found.



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SURFACE METROLOGY

http://www.michmet.com/index.html

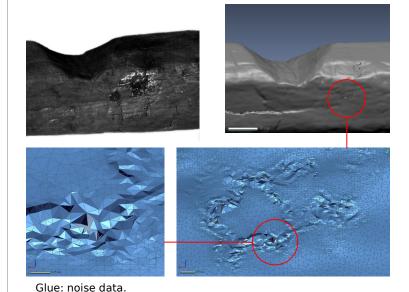
Free Software: http://digitalmetrology.com/free-software/

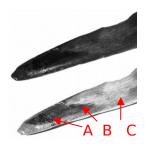
The freeware version of **SigmaSurf** allows users to import data (through an ASCII file format) and study the effects of various filters while viewing the Primary, Waviness and Roughness profiles at various scales.

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Using a 3D scan for measuring surfacemicrostructure. Neolithic wood tools

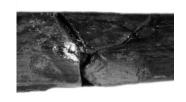
Some difficulties during 3D data capture:

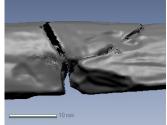






Surface area with distinct characteristics - restoriation product (A), wood hardened with fire (B) and natural wood (C): holes and noise data.



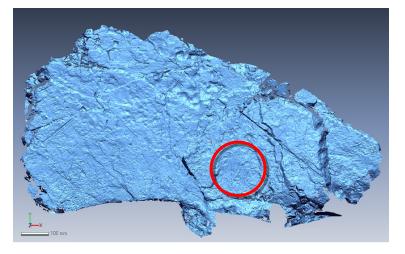


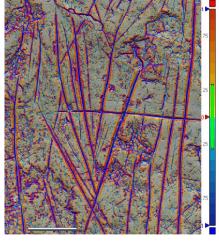
Fragmentation and restoration techniques (surface finishing): holes and noise data.



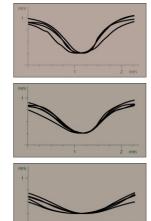


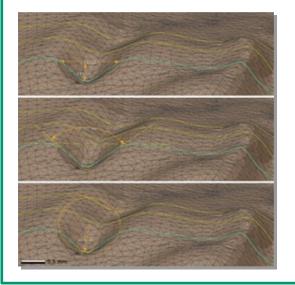


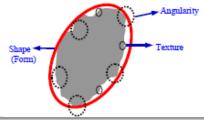


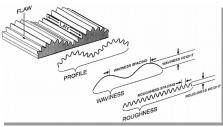






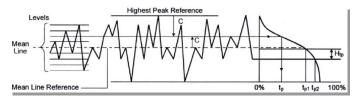




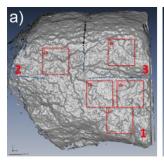


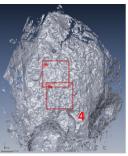
Roundness	4Area π.MaxDiameterer²
Elongation	Length Width
Compactness	$\sqrt{\left(\frac{4}{\pi}\right)}$ Area MaxDiameterer
Quadrature	Perimeter 4√Area
Sphericity	$\Psi = \frac{\pi^{\frac{1}{3}}(6V_p)^{\frac{2}{3}}}{A_p}$
Cubeness	$C_d(S) = \frac{n(S) - A(S)/6}{n - (\sqrt[3]{n(S)})^2}$

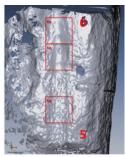
Average Roughness	$Sa = (1/Ae) \int_{o}^{Ly} \int_{o}^{Lx} Z(x,y) dxdy$
RootMean Square Roughness	$Sq = \left(\left(1/Ae \int_0^{Ly} \int_0^{Lx} Z^2(x,y) \ dxdy \right)^{\frac{1}{2}}$
Skewness	$Ssk = \frac{1}{(Sq)^3 Ae} \int_0^{Ly} \int_0^{Lx} Z^3(x,y) dx dy$
Kurtosis	$Sku = \frac{1}{(Sq)^4} \frac{1}{A\epsilon} \int_0^{Ly} \int_0^{Lx} Z^4(x,y) dx dy$
Texture Aspect Ratio	$Str = \frac{Length - of - fastest - decay - AACV - in - any - direction}{Length - of - slowest - decay - AACV - in - any - direction}$
Texture Direction Surface	$S_{td} = Major - direction - of - Lay - Derived - From - APSD$



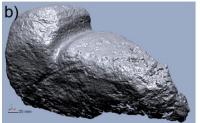
3D Digital surface texture sampling







Experimental surfaces 10 samples (50*50 mm) [E]





Experimental stela (half sculpted) 6 samples (50*50 mm) [Est]



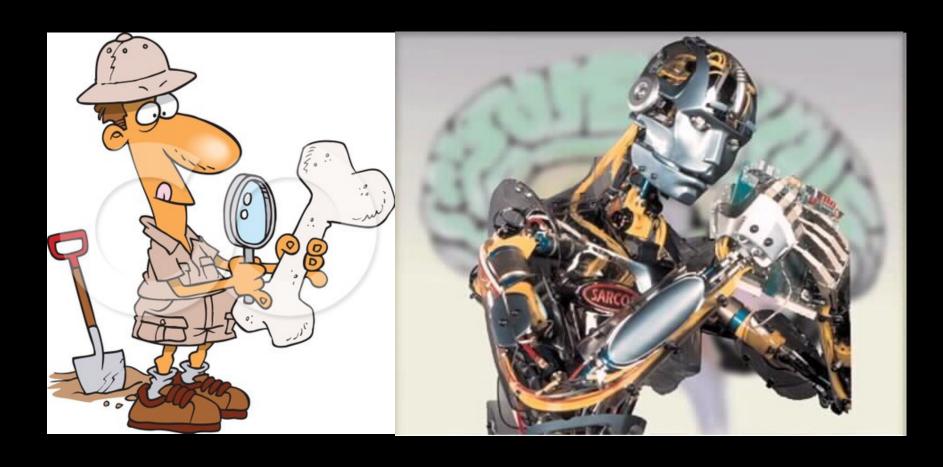


Archaeological stela (SMB/08 E-17/5/958, fragment A) 27 samples (50*50 mm) [Ast]

3D structured light scanner (SmartSCAN3D Duo System, Breukmann)

> FOV: 450 mm stereo Resolution: 280 µm (according to manufacturer)

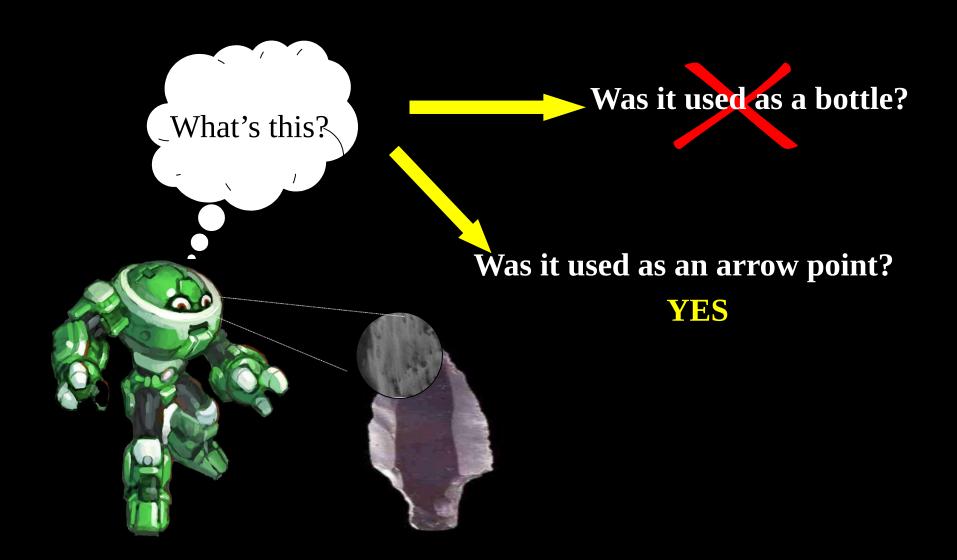
And now, what we can do with all that?

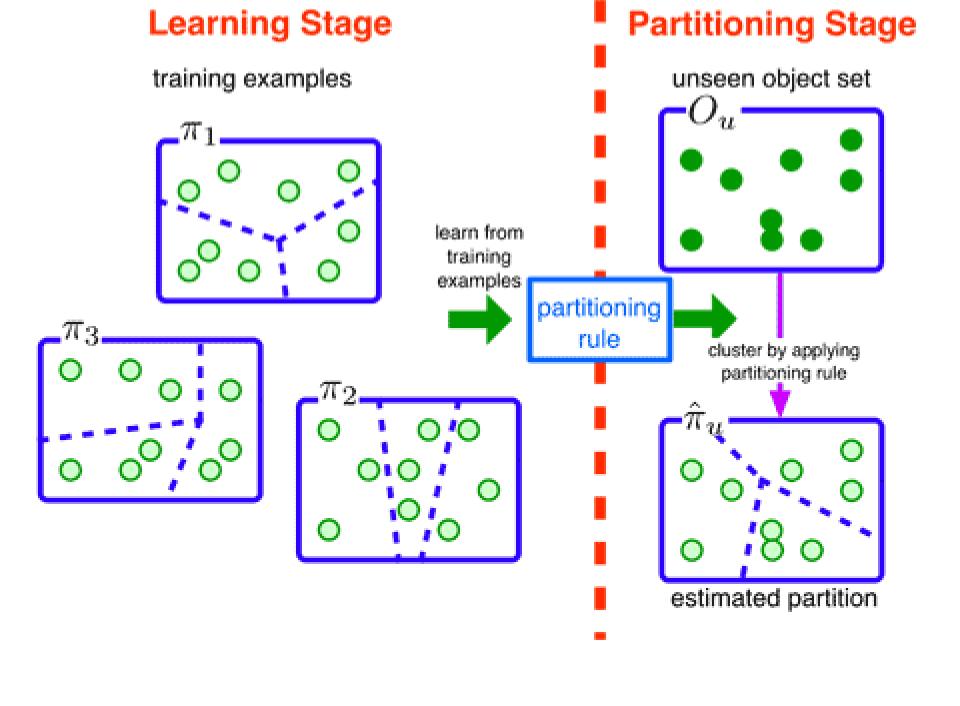


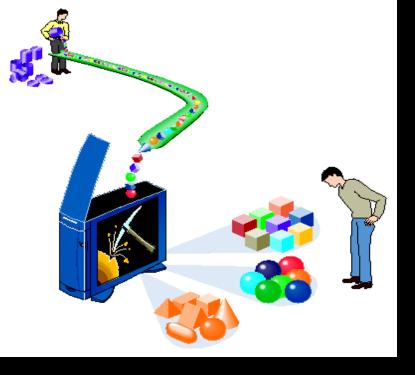
The usual archaeological answer: typology and classification



But Typology is not Classification..... Archaeological "types" do not give "answers nor explanations



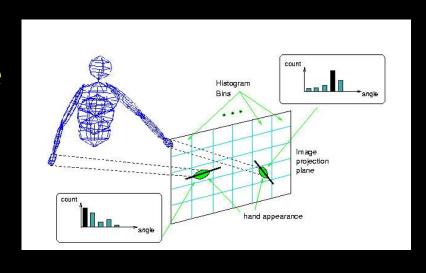




THE TASK: to find the common structure in a given perceptual sequence

ASSUMPTION:

the structure that is common across many individual instances of the same cause-effect relationship must be definitive of that group



From resemblance to similarity

Studying "resemblance"

Two entities are *similar* because they have many *properties* in common. According to this view:

- similarity between two entities increases as a function of the number of properties they share
- properties can be treated as independent and additive
- the properties determining similarity are all roughly the same level of abstractness;
- these similarities are sufficient to describe a conceptual structure: a concept would be then equivalent to a list of the properties shared by most of its instances.

Understanding "Similarity"

Studying "resemblance"

The very idea of similarity is insidious. First, we must recognize that similarity is relative and variable. That means that the degree of similarity between two entities must always be determined relative to a particular domain. Things are similar in color or shape, or in any other domain. There is nothing like overall similarity that can be universally measured, but we always have to say in what respects two things are similar. Similarity judgments will thus crucially depend on the context in which they occur.

Studying "resemblance"

Measuring "Similarity"

 Dice (Sorensen) coefficient for absence-presence (coded as 0 or positive numbers). Puts more weight on joint occurrences than on mismatches.

When comparing two columns (associations), a match is counted for all taxa with presences in both columns. Using 'M' for the number of matches and 'N' for the total number of taxa with presences in just one column, we have

Dice similarity = 2M/(2M + N)

- Jaccard similarity for absence-presence data: M/(M+N)
- The Simpson index is defined as M/N_{min}, where N_{min} is the smaller of the numbers of presences in the two associations. This index treats two associations as identical if one is a subset of the other, making it useful for fragmentary data.
- Kulczynski similarity for presence-absence data:

$$\frac{M/(M+N_1)+M/(M+N_2)}{2}$$

• Ochiai similarity for presence-absence data (binary form of the cosine):

$$\sqrt{[M/(M+N_1)][M/(M+N_2)]}$$

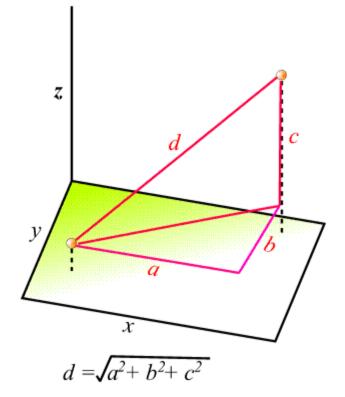
• Bray-Curtis measure for abundance data.

$$Bray - Curtis_{jk} = \frac{\sum_{i=1}^{s} |x_{ij} - x_{ik}|}{\sum_{i=1}^{s} (x_{ij} + x_{ik})}$$

Cosine distance for abundance data - one minus the inner product of abundances each normalised to unit norm.

Studying "resemblance"

• Measuring *distance* as an alternative to "similarity"



From Clustering To Classification

The Clustering Principle

The Clustering Principle:

Internal

Similarity

higher than

External

Similarity



Law of Similarity:

Items that are similar tend to be grouped together.

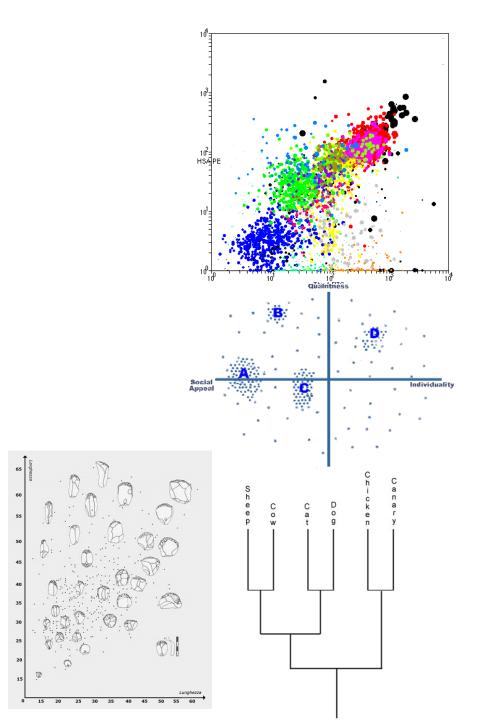
In the image above, most people see vertical columns of circles and squares.



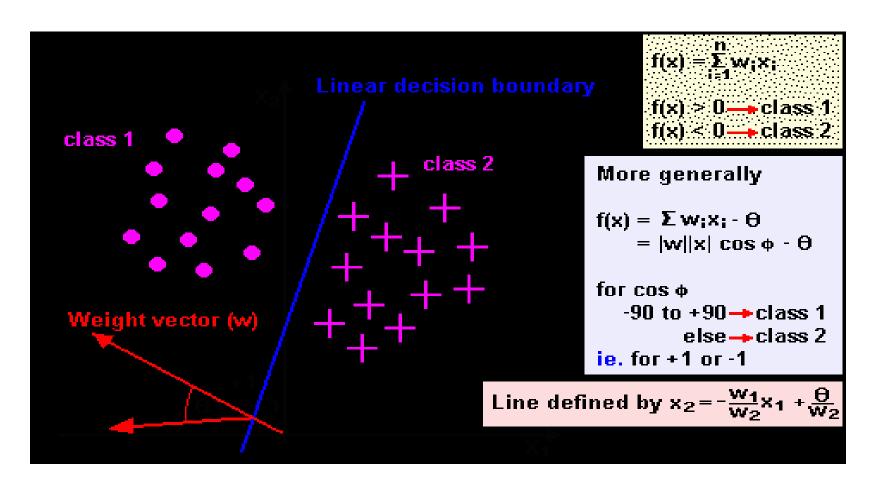
Clustering

It is the process of grouping input samples in similarity classes.

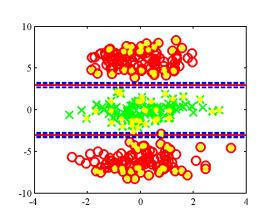
Thus, one would like to group observations so as to minimize intra-group distances while maximizing inter-cluster distances, subject to the constraints on the number of clusters that can be formed.

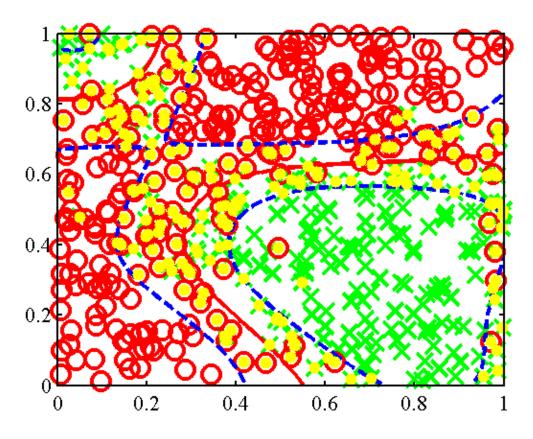


Linear Discrimination



Non-Linear Discrimination





From Clustering to Classification

• What is a "Classification"?

Classification is a form of categorization where the task is to take the descriptive attributes of an observation (or set of observations) and from this to identify the observation within a different phenomenological domain. Hence, the task of the classifier is somehow to partition feature space into disjoint regions that each represents a particular class, cluster, or pattern.

From Clustering to Classification

• What is a "Classification"?

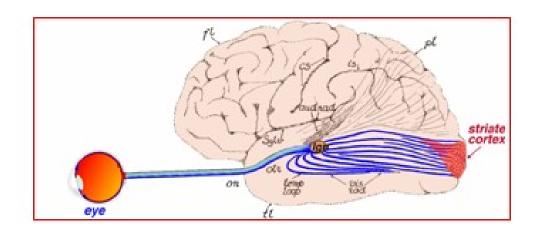
The goal in a classification problem is to develop an algorithm which will assign any artifact, represented by a vector x, to one of c classes (chronology, function, origin, etc). The problem is to find the best mapping from the input patterns (descriptive features) to the desired response (classes).

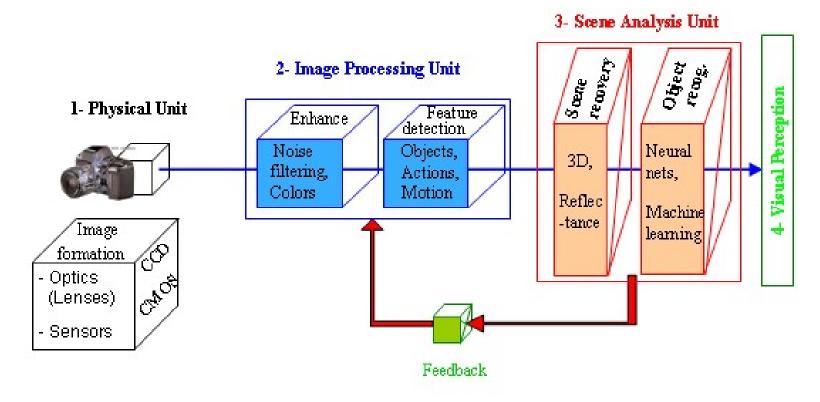
From Clustering to Classification

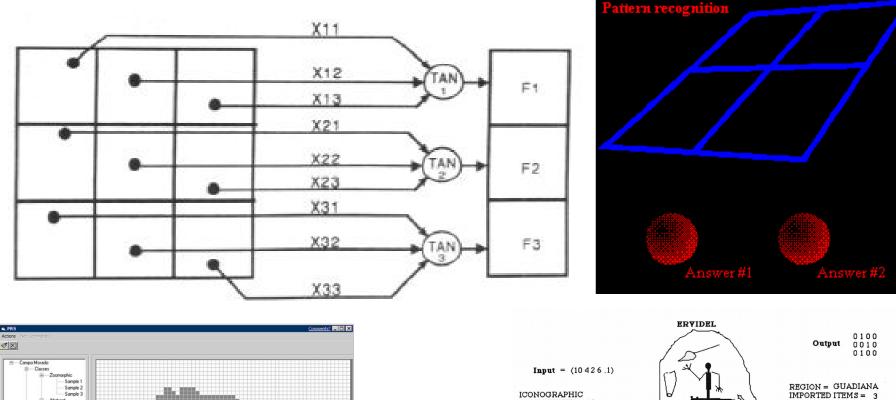
• What is a "Classification"?

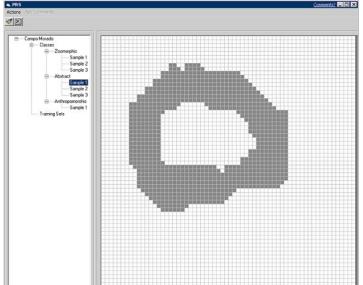
The purpose of the classification problem is to estimate the probability of membership of the case in each class. The objective is to build a model with significant predictive power. It is not enough just to find which relationships are statistically significant. That explains why classification and prediction are frequently interrelated. A prediction of an historical event is equivalent to a classification within a given set of events.

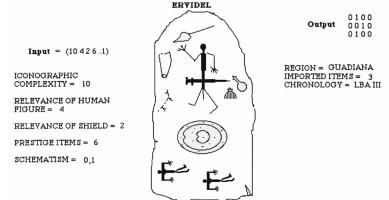
COMPUTER VISION









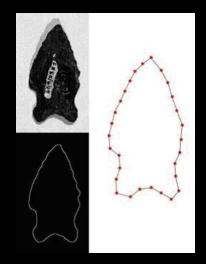


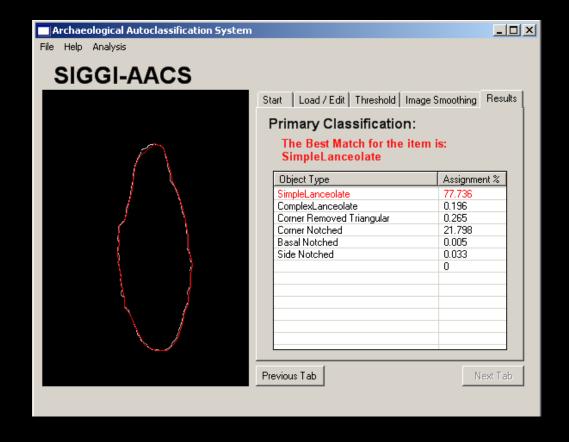
J.A. BARCELO, 1995 Back-propagation algorithms to compute similarity relationships among archaeological artifacts. In *Computer Applications in Archaeology*.

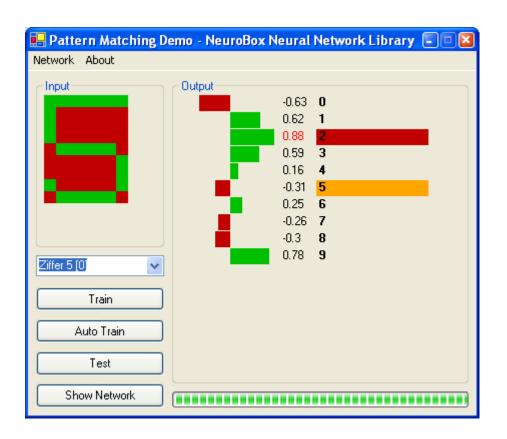
Edited By r J. Wilcock y K. Lockyear. Oxford: British Archaeological Reports.

DIAZ,D., CASTRO,D., 2001, "Pattern Recognition applied to Rock Art". In *Archaeological Informatics: Pushing the Envelope*. Edited by Göran Burenhult. Oxford: ArchaeoPress (BAR Int. Series S1016)., pp. 463-468.

LOHSE, E.S., SCHOU,C., SCHLADER,R., SAMMONS,D, 2004, "Automated Classification of Stone projectile Points in a Neural Network". In *Enter the Past. The e-way into the four dimensions of culture heritage*. Edited by Magistrat der Stadt Wien-Referat Kulturelles Erbe-Städtarhchäologie Wien. Oxford, ArcheoPress (B AR Int. Series, S1227), pp. 431-437).







The general idea is that a neural network can be fed with visual inputs (images), and it will output a shape-based recognition of the visual features present in that input.

Kashyap, H.K., Bansilal, P., Koushik A.P., 2003, **Hybrid Neural Network Architecture for Age Identification of Ancient Kannada Scripts.** *Proceedings of the 2003 IEEE International Symposium on Circuits and Systems (ISCAS 2003)*, Vol. 3, Page(s): 423-426, May 25-28, 2003.

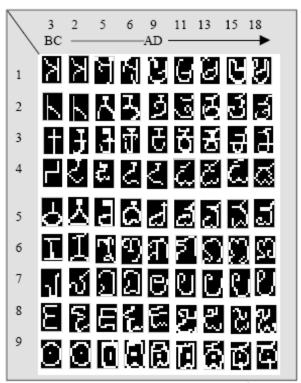


Figure 6. Set of Characters ranging from 3rd century BC to 18th Century AD.

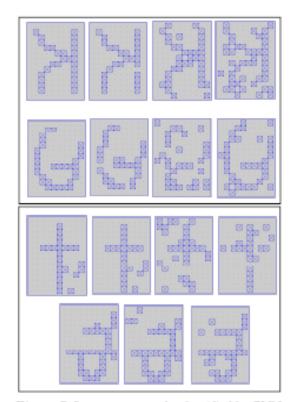
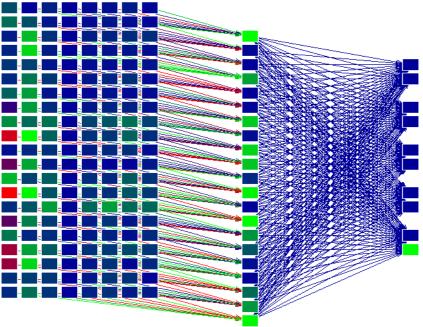


Figure 7. Images accurately classified by PNN.

An Artificial Neural Network for studying ancient Indian documents written in Kannada, a language of southern India, which is as old as 5th century AD.

(Kashyap et al., 2003).





From Classification to Typology

 The idea of Typology. A set of ordered Prototypes

Prototype. It is an individual instance of some entity serving as a typical example, for other entities of the same category. When the regularities extracted for a given archaeological data share a common set of attributes, this set can be said to define a prototype.

From Classification to Typology

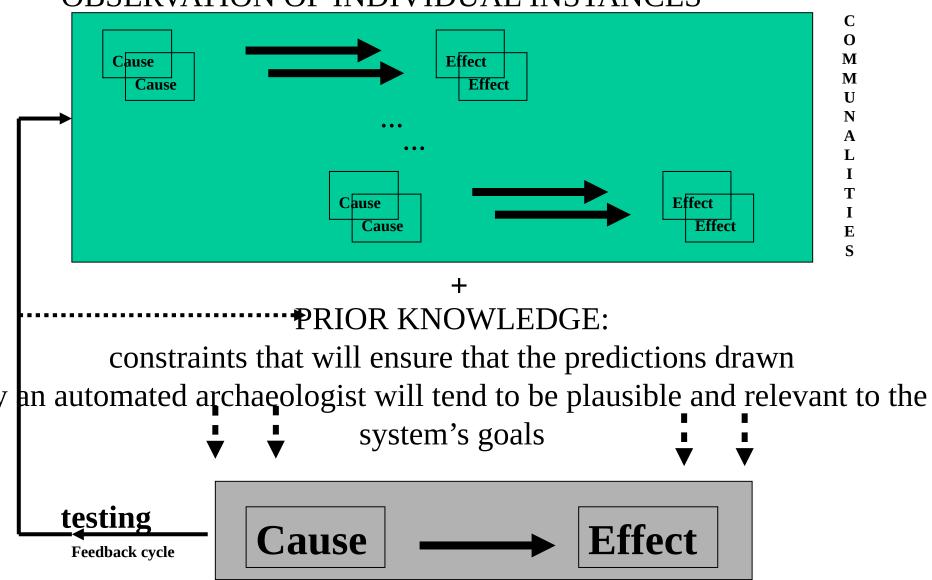
Can we define a "class" or "type" from a cluster of similar objects?

In clustering, a set of explanations will be modeled by first describing a set of prototypes, then describing the objects using these prototypical descriptions. Each description gives the probabilities of the observable features, assuming that what has been perceived belongs to a group composed of similar looking percepts. The prototype descriptions are chosen so that the information required to describe objects in the class is greatly reduced because they are "close" to the prototype.

Learning

- We should learn CAUSAL ASSOCIATIONS.
- *B* depends on *A* if *B* is consequence of *A*.
- This relationship between EFFECT and CAUSE is not necessary a formal relationship. At least not always. It should exist some type of association, but we do not need that that association follows formal criteria. Obviously, the more formal the dependence between EFFECT and CAUSE, the greater validity we will grant to the problem solution.

OBSERVATION OF INDIVIDUAL INSTANCES



Inference of a general model

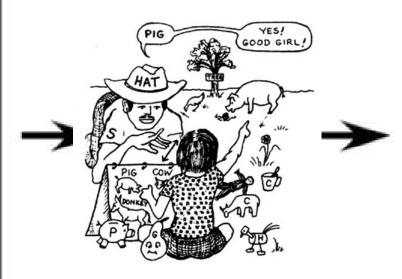
Output

0.5 0.4 0.2 0.1 0.5

0.5 0.7 0.3 0.0 0.1 0.3 0.2

0.9

Input#1	Input#2	Input#3		
0.9	0.7	0.8		
0.0	0.3	0.6		
0.4	0.7	0.2		
0.2	0.6	0.7		
0.6	0.3	0.1		
0.8	0.2	0.5		
0.2	0.6	0.9		
0.2	0.9	0.2		
0.4	0.9	0.6		
0.3	0.9	0.0		
0.7	0.4	0.1		
0.4	0.4	0.7		
(444)	ERE()	444		
(45.4)	9920	3.55		
5645	***	200		
0.3	0.1	0.9		





Arqueología = CIENCIA EXPERIMENTAL

The function of a certain item is – or should be – what it is best able to do (or be) given its physical constitution and its context.

D. Dennet Design Stance.







An object's *use* can be defined as the exertion of control over a freely manipulable external object with the specific intention of:

- (1) altering the physical properties of another object, substance, surface or medium via a dynamic mechanical interaction, or
- (2) mediating the flow of information between the tool user and the environment or other organisms in the environment.

To infer "functionality" from perceived properties we need a combination of three kinds of information:

- Knowledge about how the designers intended to design the artifact to have the function
- Knowledge about how the makers determined the physical structure of that artifact on the basis of their technological abilities
- Knowledge about how the artifact was determined by its physical structure to perform that function

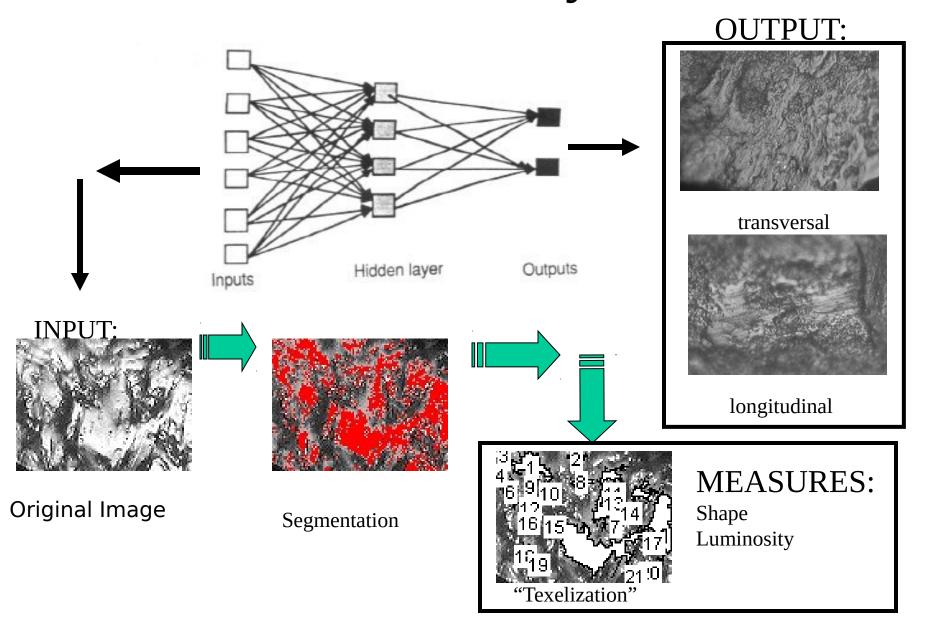
DIRECTLY INTERACTING WITH REAL OBJECTS

The constraints that are relevant in function of the archaeological entity fall into different categories, which would include the following (St. Amant 2002, Bicici and St. Amant 2003):

- *Spatial* constraints describe the spatial relationships associated with a tool and its use in an environment. For example, to use a hammer one needs enough room to swing it.
- *Physical* constraints describe physical relationships in the use of the tool, such as weight or size.
- *Dynamic* constraints describe movement- or force-related properties of tool use. For example, one needs to swing a hammer with appropriate speed in its use.



The PEDRA System

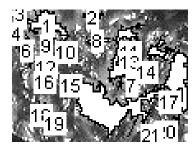


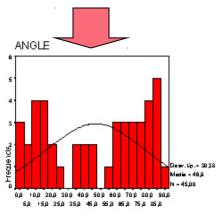
DESCRIBING TEXTURE

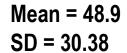
Central Tendency texture values

In each picture we calculate the mean and standard deviation values of texel features

transversal

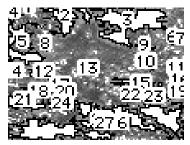


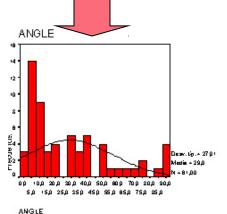




ANGLE

longitudinal





Mean = 29 SD = 27.01

NEURAL NETWORKS. The PEDRA Project

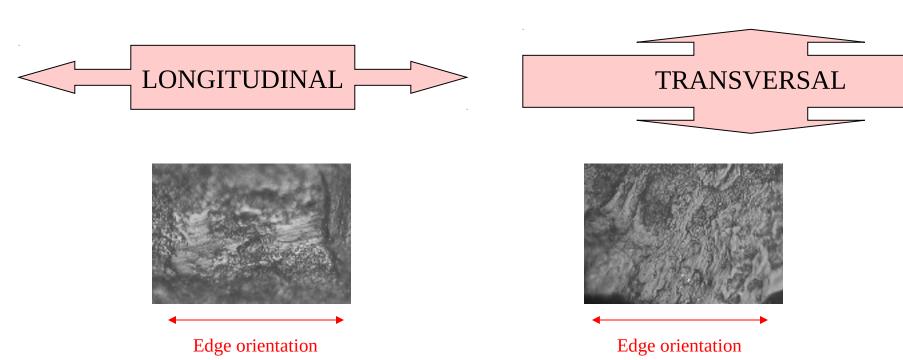
PEDRA. (Stone in Catalan)

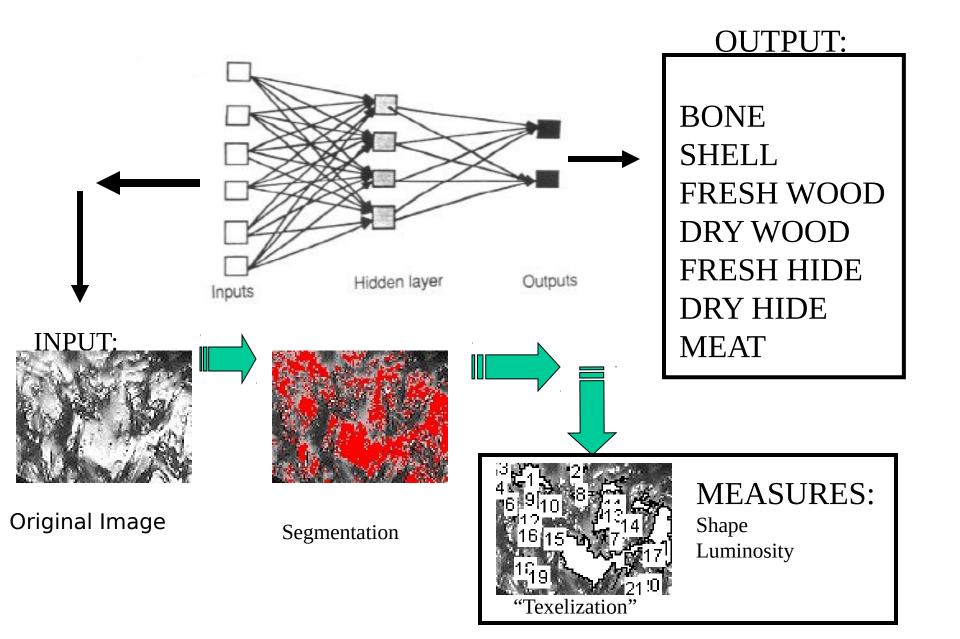
Output / Desired	KYNEMAT(T)	KYNEMAT(L)
KYNEMAT(T)	143	86
KYNEMAT(L)	26	177

Performance	TRANSVERS	LONGITUD
MSE	0,173922583	0,174325181
NMSE	0,730265892	0,731956322
MAE	0,360646928	0,361481759
Min Abs Error	0,000365206	0,000365206
Max Abs Error	0,962687106	0,962687106
r	0,61404546	0,61404546
Percent Correct	84,6153	67,3003

PATTERN RECOGNITION

The network has correctly learn to distinguish use kynematics





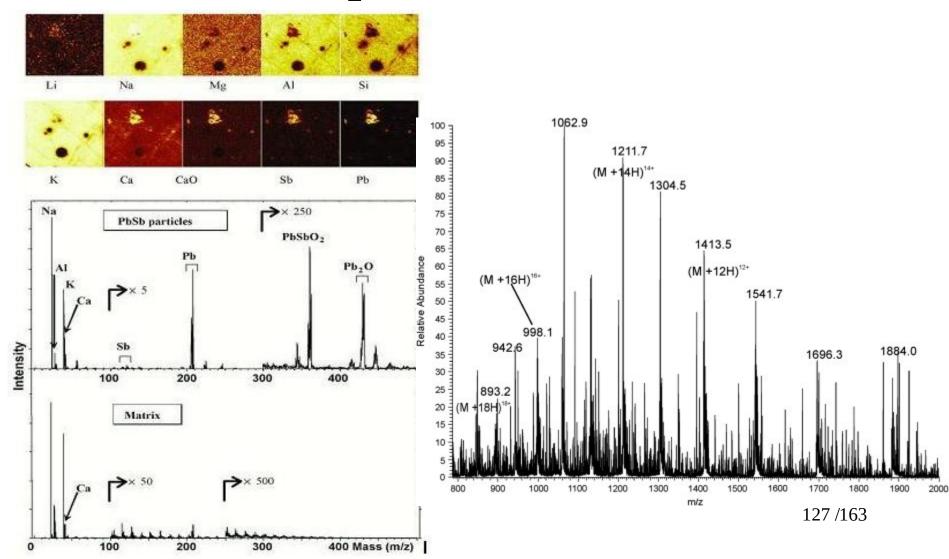
Output / Desired	BONE	BUTCHERY	DRY HIDE	DRY WOOD	FRESH HIDE	FRESH WOOD	SHELL
BONE	55	0	0	6	1	3	10
BUTCHERY	8	43	4	2	5	1	0
DRY HIDE	13	4	46	6	0	6	3
DRY WOOD	13	1	12	43	1	13	4
FRESH HIDE	3	17	7	3	18	0	0
FRESH WOOD	10	1	5	6	0	28	8
SHELL	20	1	6	5	0	11	44
Performance	BONE	BUTCHERY	DRY HIDE	DRY WOOD	FRESH HIDE	FRESH WOOD	SHELL
MSE	0,1433	0,0626	0,0983	0,0962	0,0405	0,0852	0,0892
NMSE	0,7731	0,5364	0,7271	0,7844	0,8473	0,7795	0,7449
MAE	0,237	0,1455	0,1966	0,20543	0,1137	0,1910	0,1950
Min Abs Error	1,1E-05	8,2E-05	0,0006	0,0006	0,0002	2,7E-05	0,0002
Max Abs Error	1,0388	0,9980	0,9961	0,9779	0,9352	1,0341	0,9818
r	0,512	0,7116	0,5397	0,477	0,4998	0,4709	0,5119
	45,08						
Percent Correct	1	64,1791	57,5	60,5633	72	45,1612	63,7681

BEYOND VISUAL DATA





BEYOND VISUAL DATA: Compositional Data





Mechanical properties of archaeological materials

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CONSTRAINTS

Practical Problem

Task Constraints (Level of Acceptability) Task Mechanics - Precision - Force - Nature of Action Efficiency Quantity Time Available

Failure Consequences (Risk)

Locational Constraints

(Necessary or suitable locations or environments)

Material Constraints

Available Materials, Sizes, and Costs Relative Performance Relative Wear/Failure Rates Ease of Working

Technological Constraints

Available Technology Production Costs Repair/Resharpening/Replacement Costs Skill Required

Socioeconomic Constraints

Mobility Transport Capacity Available Labor Storage/inventory capability

Ideological Constraints

Design Considerations

- Size and Weight
- Edge Angle and Form
- Prehension and Hafting
- Length of Use (Use-life)
- Degree of Specialed Use
- Reliability (robustness and "overdesign")
- Ease of repair/resharpening requirements
- Multifunctionality (versatility)

Production/Reduction

& Resharpening Strategies

e.g. - Expedient Block Core

- Biface
- Long-Lived

Flake/Blade Tools

- Scavenging/Recycling
- Bipolar
- Groundstone
- Resharpening:
 - hard hammer (notching, continuous retouching, burinating, etc.)
 - billet
 - pressure
 - grinding

A schematic representation of the design and production process for practical lithic technology. This is a stepwise, sequential design process that results in the production of a tool. Once it is completed and the tool is tried out, it may lead to evaluation of the acceptability of results and reassessment of various factors, leading to a new round of tool production.

DIRECTLY INTERACTING WITH REAL OBJECTS

MEASURING MECHANICAL PROPERTIES

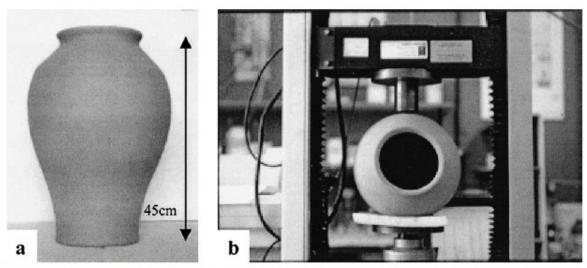
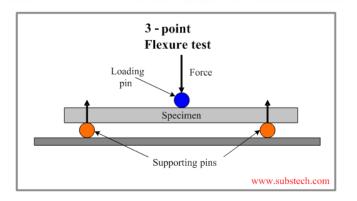


Figure 1. (a) The model jar manufactured for the purposes of developing and testing the FEA method. (b) Testing configuration of the test jar.



MEASURING PHYSICAL PROPERTIES

Physical properties - are those whose particular values can be determined without changing the identity of the substance: DENSITY, MOISTURE CONTENT, PERMEABILITY, SHRINKAGE

Friction properties – include the coefficients of *static*, *kinetic*, *and rolling* friction,

Thermal properties – thermal conductivity, thermal diffusivity, thermal expansion coefficient, thermal shock resistance, specific heat, melting point, creep resistance.

MEASURING MECHANICAL PROPERTIES

Mechanical properties – ThE value may vary as a result of the physical properties inherent to each material, describing how it will react to physical forces. The main characteristics are ELASTIC, STRENGTH and VIBRATION.

ELASTIC PROPERTIES:

modulus of elasticity, is the ratio of linear stress to linear strain.

Poisson's ratio is the ratio of lateral strain to axial strain.

Yield strength refers to the point on the stress-strain curve beyond which the solid starts to deform plastically and cannot be reversed upon removal of the Loading

MEASURING MECHANICAL PROPERTIES

STRENGTH PROPERTIES: The material's mechanical strength properties refer to the ability to withstand an applied stress without failure, by measuring the extent of a material's elastic range, or elastic and plastic ranges together. Loading, which refers to the applied force to an object, can be by:

Tension —It can be quantified as *ultimate tensile strength*, which is the maximum amount of tensile stress a material can withstand while being stretched or pulled before failure. *Ductility* measures how much a material deforms under tensile load before breaking. It can be measured in percentage of elongation of a tensile sample after breaking. On the contrary, *brittleness* is the ability of a material to fracture with very little or no previous detectable deformation.

MEASURING MECHANICAL PROPERTIES

Compression – involves pressing the material together. In fact, it is the opposite of tensile loading. *Compressive strength*: is the maximum amount of *compressive stress* a material can withstand while being compressed before failure.

Hardness : A measure for material hardness can also be the *degree of abrasion*, which is the resistance to grinding force.

Bending – involves applying a load that causes a material to curve, resulting in compressing the material on one side and stretching it on the other. It can be quantified as *bending strength* and *flexural strength*.

DIRECTLY INTERACTING WITH REAL OBJECTS

MEASURING MECHANICAL PROPERTIES

Shear – involves applying a load parallel to a plane, causing the material on one of the sides of the plane to want to slide across the material on the other side. It can be quantified as *Shear strength*, which is the maximum amount of shear stress a material can withstand before failure. *Shear strain*: change in the angle between two perpendicular lines in a plane. *Shear modulus* (or modulus of rigidity, ratio of shear stress to shear strain, measures the stiffness of materials indicating the resistance to deflection of a member caused by shear stresses.

Torsion – Torsion strength indicates the applied force which causes twisting in a material.

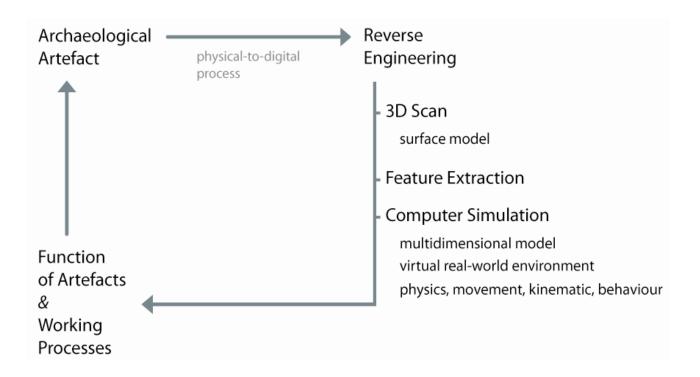
<u>Fatigue – Fatigue limit</u> refers to the maximum stress a material can withstand under cyclic loading. This resistance to failure under particular combinations of repeated loading conditions is measured.

DIRECTLY INTERACTING WITH REAL OBJECTS



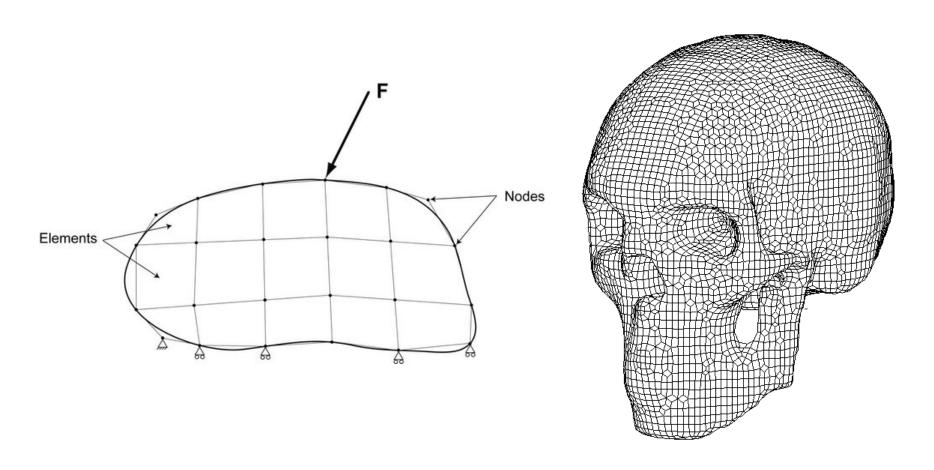
Imagine the answer of a Museum director when we ask her to break a prehistoric object in order to measure its structural and mechanical properties. Given that prehistoric and ancient objects tools not always can be used in the present nor "touched" to preserve its integrality, we are limited to the possibily of manipulating a virtual surrogate of the object. $\frac{127/172}{172}$

REVERSE ENGINEERING

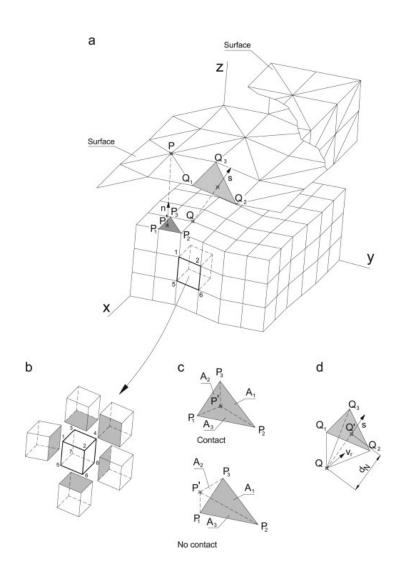


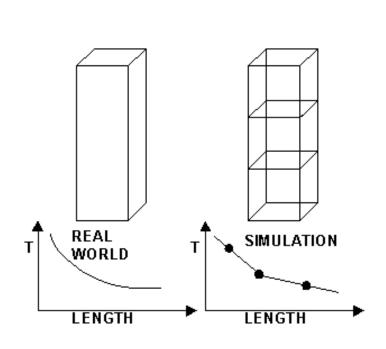
Reverse Engineering is the process of extracting missing knowledge from anything man-made, by going backwards through its development cycle and analyzing its structure, function and operation. It consists of a series of iterative steps, each addressing different questions regarding, in this case, an overall artefact. These steps may be repeated as often as needed until all steps are sufficiently satisfied.

DIRECTLY INTERACTING WITH SURROGATES OF REAL OBJECTS SOLID MODEL

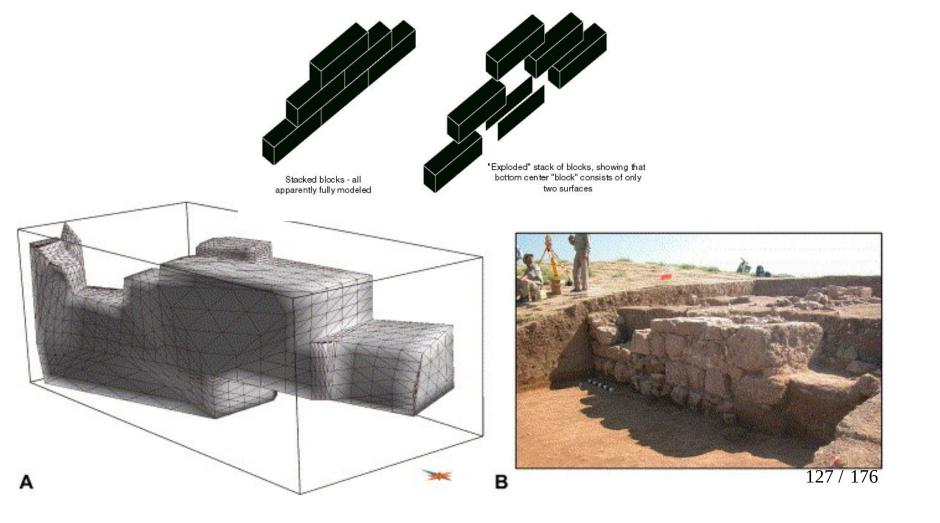


DIRECTLY INTERACTING WITH SURROGATES OF REAL OBJECTS SOLID MODEL

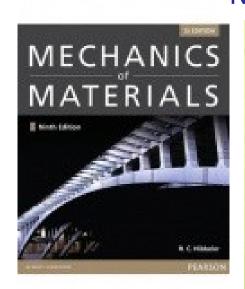


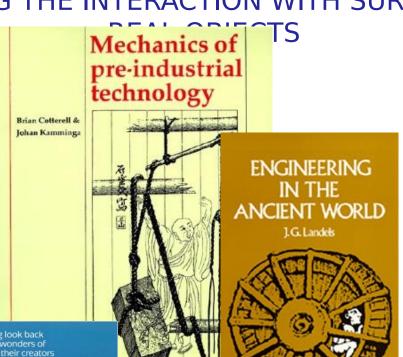


DIRECTLY INTERACTING WITH SURROGATES OF REAL OBJECTS SOLID MODEL

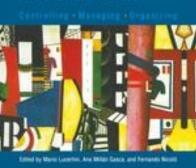


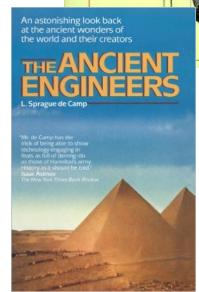
C'IN AT 'ING THE INTERACTION WITH SURPACTIONS

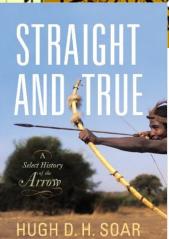


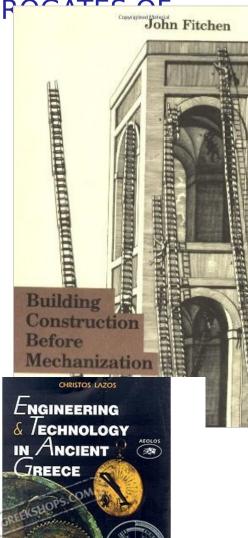


Technological Concepts and Mathematical Models in the Evolution of Modern Engineering Systems



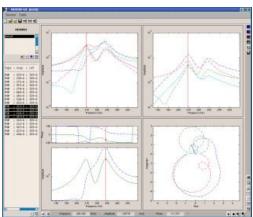






SIMULATING THE INTERACTION WITH SURROGATES OF REAL OBJECTS



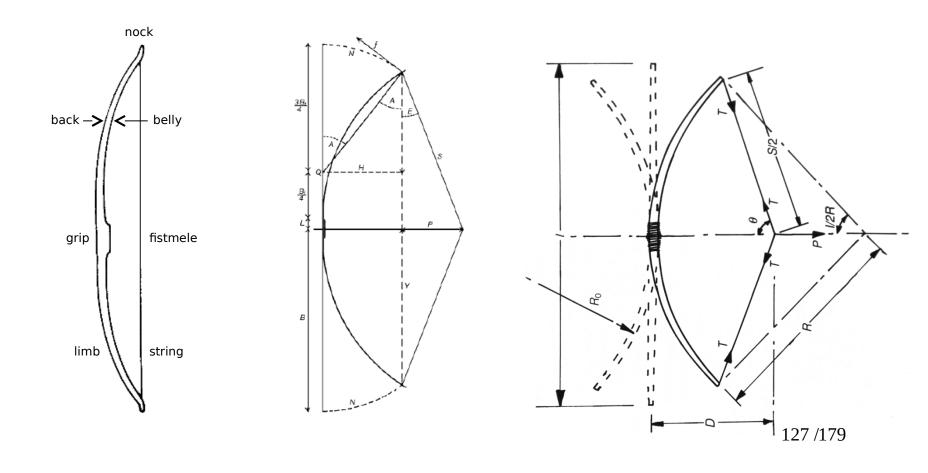


We can imagine different kinds of simulated interaction:

- 1) *static*, which calculates displacements, reaction forces, strains, stresses, and factor of safety distribution;
- *2) frequency*, calculates stresses caused by resonance;
- fatigue, calculates the total lifetime, damage, and load factors due to cyclic loading;
- 4) non-linear, calculates displacements, reaction forces, strains, and stresses at incrementally varying levels of loads and restraints;
- 5) dynamic, calculates the model's response due to loads that are applied suddenly or change with time or frequency

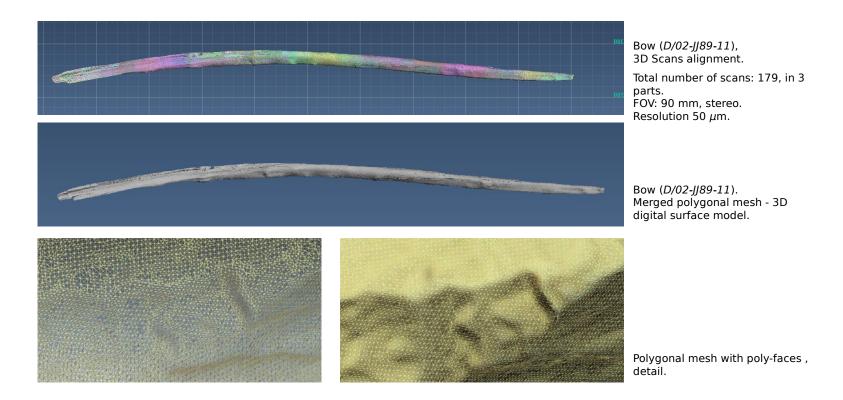
SIMULATING THE INTERACTION WITH SURROGATES OF REAL OBJECTS

J.A. BARCELÓ & V. MOITINHO 2011-2013: Prehistoric Bow and Arrow



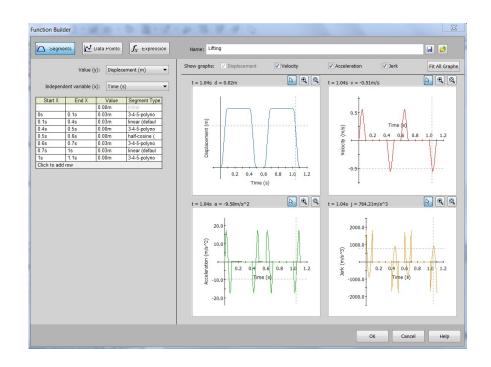
SIMULATING THE INTERACTION WITH SURROGATES OF REAL OBJECTS

La Draga (Banyoles). An early neolithic site

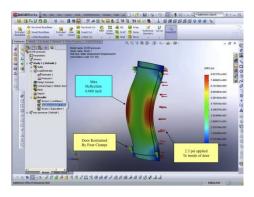


SIMULATING THE INTERACTION WITH SURROGATES OF REAL OBJECTS

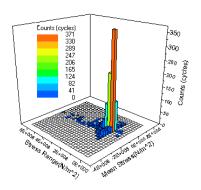
J.A. BARCELÓ & V. MOITINHO 2011-2013: Prehistoric Bow and Arrow







Pressure, displacement and deformation analysis.





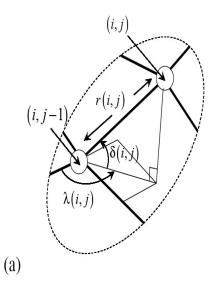


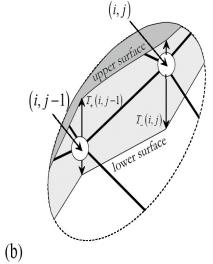


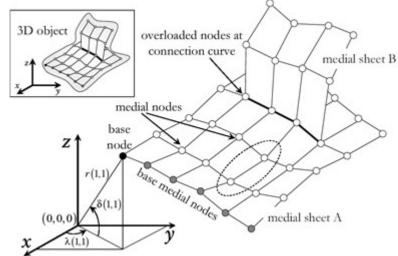
Neolithic Axes



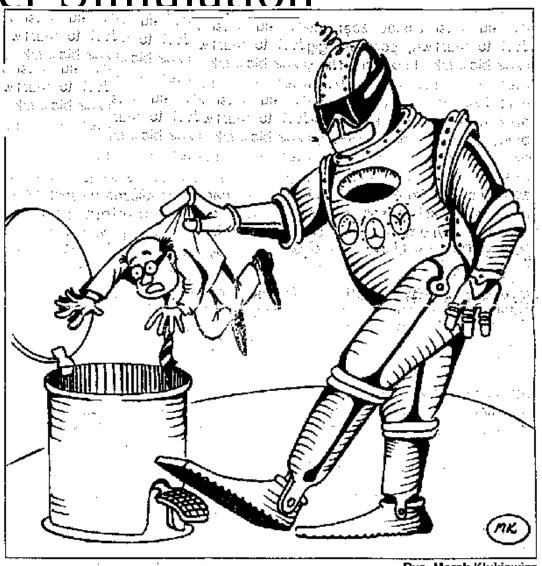








Computer Simulation



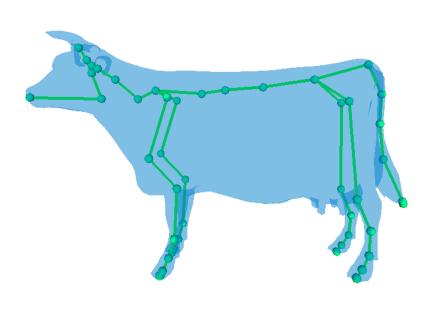
Rys. Marek Klukiewicz

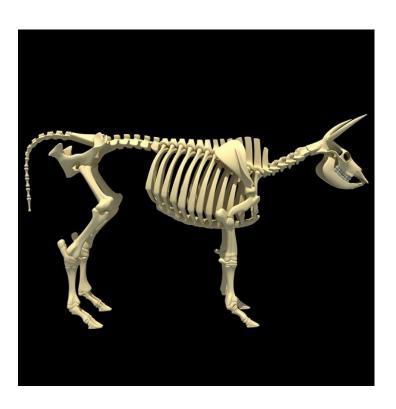






NCIONAL DE RESTOS OSEOS. DEL ANIMAL SALVAJE CION. Kaveh Youssef



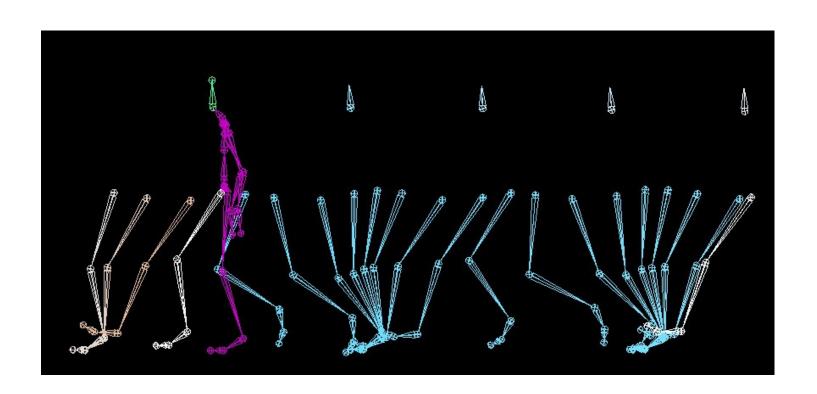








CIONAL DE RESTOS OSEOS. DEL ANIMAL SALVAJE









ONAL DE EDIFICACIONES PREHISTORICAS. PALAFI

